



Microsoft Cloud Financial Services Compliance Program

Financial services and other regulated organizations are typically required to conduct risk assessments and perform due diligence before committing to a cloud service, and they must maintain valid risk assessments and appropriate oversight of the cloud vendor for as long as they use it. The Microsoft Cloud Financial Services Compliance Program (FSCP) was specifically created to help financial services and regulated financial affiliates assess the risks of using Microsoft's cloud services. The FSCP offers deep insights into Microsoft cloud services' capabilities, risks, and performance. The FSCP is available to customers using Microsoft Office 365, Microsoft Azure, Microsoft Dynamics 365, and Microsoft Intune.


Program Benefits

The FSCP is designed to help customers alleviate risk and compliance concerns and to increase the adoption and use of Microsoft cloud services, and has been built with an understanding of the unique and strict regulatory requirements of financial services and related customers. FSCP benefits include:

- Direct engagement with Microsoft Engineers, Program Managers, and others
- An annual multi-day Compliance Summit, held in Redmond, WA
- On-demand 1:1 audit rights (fee-based)
- Dedicated webcasts and focus groups designed for program members
- Monthly newsletter with feature topics and links to articles and resources
- Access to private Yammer community to discuss relevant topics and share ideas



¹ The Yammer network is suitable for meeting security requirements for collaboration and document sharing, and membership is restricted to invite-only.



FSCP benefits also include assistance with General Data Protection Regulation readiness, access to external auditors to address complex regulatory issues and new requirements, and more. We have a team of specialists in banking, insurance, and asset management, as well as financial services treasury and remediation services. With access to Microsoft compliance experts and cloud service engineers, FSCP members can provide feedback, and influence features and our product roadmap. Your local Microsoft representative will have details on program enrollment and deliverables.

Microsoft is committed to the highest levels of trust, transparency, standards conformance and regulatory compliance.

Risk Assurance

Self Service

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| Trust and transparency | <ul style="list-style-type: none">• In-product risk and compliance management features• Security, risk assessment and compliance tools• Tailored compliance guides• GDPR readiness• Regulator right to examine |
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| Risk Assessments | <ul style="list-style-type: none">• Third-party cloud service audit reports• Industry regulator examination• Certification and controls library |
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Personally Guided

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| Customized Security & Risk | <ul style="list-style-type: none">• 1:1 engagement with Microsoft engineering and cloud experts• Service Trust Portal sign-in• Security Dashboard• Secure Score• Audience tailored compliance guides |
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| Compliance Program | <ul style="list-style-type: none">• Access Microsoft compliance and security experts• Additional access to personalized information• Audit webcasts & annual summit• Risk Register & Control Framework• Advanced Roadmap• Security incident reviews• Access to external auditors• Ability to influence via future audit scope |
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On-Demand

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| Customized Audit | <ul style="list-style-type: none">• 1:1 access to examined controls and evidence• 1:1 Microsoft experts, information, and evidence (fee-based) |
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