

# Universal Serial Bus Communication Class MBIM Compliance Testing

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# 1 Introduction

## 1.1 Purpose

The purpose of this document is to provide assertions and tests for validating devices which indicate [USBMBIM10] support in one or more functions of the device. This specification does not add requirements to the [USBMBIM10], [USBCDC12], [USB20] or [USB30] specifications. Basic interoperability and compliance can be tested using only the information in those specifications. This document is prepared using [USBMBIM10] as base document.

## 1.2 Scope

This specification provides assertions and test designs for devices with functions implementing [USBMBIM10]. These assertions and test designs allow limited validation of proper implementation of [USBMBIM10] by the device.

If there are conflicts between this specification document and [USBMBIM10] then [USBMBIM10] shall be taken to be the controlling document.

## 1.3 Related Documents

- [USBNCM10] Universal Serial Bus Communications Class Subclass Specifications for Network Control Model Devices, Revision 1.0 as modified by the errata. <http://www.usb.org>.
- [USBMBIM10] Universal Serial Bus Communications Class subclass Specification for Mobile Broadband Interface Model, Revision 1.0 – Errata 1. <http://www.usb.org>.
- [USB20] Universal Serial Bus Specification, Revision 2.0. <http://www.usb.org>.
- [USB30] Universal Serial Bus 3.0 Specification, Revision 1.0, November 12, 2008. <http://www.usb.org>. Unless otherwise specified, any reference to [USB30] includes [USB20] by reference, especially when referring to full- and high-speed devices.
- [USBCDC12] Universal Serial Bus Class Definitions for Communications Devices, Revision 1.2. <http://www.usb.org>.
- [USBECM12] Universal Serial Bus Class Definitions for Ethernet Control Model Devices, Revision 1.2. <http://www.usb.org>.

## 1.4 Abbreviations

Abbreviation	Explanation
CID_XX	Control command validation test
CM_XX	Control message validation test
COA	Checklist Only Assertion: an assertion marked as COA has no corresponding test sequence; it SHALL be validated using the COA checklist
CREQ_XX	Control request validation test
DES_XX	Descriptors validation test
DTS_XX	Data transfer validation test
ERR_XX	Error handling validation test
M	Mandatory: an assertion marked as Mandatory MUST be implemented by the Compliance Tool
NCM/MBIM	Function that supports NCM 1.0 and MBIM interfaces

## 2 Management Overview

MBIM functions require compliance to several standards and specifications.

IP layer: Depending on the type of USB being used, one or more of the following may apply.

- [USB20] for full- and high-speed operation
- [USB30] for super-speed operation

MBIM layer: All of the following specifications apply:

- [USBCDC12] as the general framework for all CDC subclasses
- [USBECM12] for certain descriptor, management element,
- [USBMBIM10] for notification formats.

This document focuses on testing the implementation of the MBIM 1.0 layer.

All tests below refer only to a single MBIM function even if multiple MBIM functions are present. In case of multiple MBIM functions the tests should be applied in sequence.

Please note that all values should be in little-endian, unless otherwise specified.

### 3 Test Assertions

Assertion	Description	Test Identifier	Tags M (Mandatory) COA (Checklist Only Assertion)
<a href="#">[MBIM 1.0 - 3.2.1#1]</a>	Functions that implement both NCM 1.0 and MBIM shall provide two alternate settings for the Communication Interface.	<a href="#">DES_01</a>	M
<a href="#">[MBIM 1.0 - 3.2.1#2]</a>	For alternate setting 0 of the Communication Interface of an NCM/MBIM function: interface, functional and endpoint descriptors shall be constructed according to the rules given in [USBNCM10].	<a href="#">DES_01</a>	M
<a href="#">[MBIM 1.0 - 3.2.1#3]</a>	For alternate setting 1 of the Communication Interface of an NCM/MBIM function: interface, functional and endpoint descriptors shall be constructed according to the rules given in [MBIM1.0] section 6.	<a href="#">DES_01</a>	M
<a href="#">[MBIM 1.0 - 3.2.1#4]</a>	When alternate setting 0 of the Communication Interface of an NCM/MBIM function is selected, the function shall operate according to the NCM rules given in [USBNCM10]. In particular, NTBs shall transport Ethernet frames, not IP datagrams.		COA
<a href="#">[MBIM 1.0 - 3.2.1#5]</a>	When alternate setting 1 of the Communication Interface of an NCM/MBIM function is selected, the function shall operate according to the MBIM rules given in [USBMBIM10]. In particular, NTBs shall transport IP datagrams, not Ethernet frames	<a href="#">DTS_01</a>	M
<a href="#">[MBIM 1.0 - 3.2.2.1#1]</a>	If an Interface Association Descriptor is used to form an NCM/MBIM function, its interface class, subclass, and protocol codes shall match those given in alternate setting 0 of the Communication Interface.	<a href="#">DES_01</a>	M
<a href="#">[MBIM 1.0 - 3.2.2.2#1]</a>	For an NCM/MBIM function the Communication Interface descriptor for alternate setting 0 must have bInterfaceSubClass == 0Dh and bInterfaceProtocol == XXh.	<a href="#">DES_01</a>	M
<a href="#">[MBIM 1.0 - 3.2.2.3#1]</a>	For an NCM/MBIM function, alternate setting 0 of the Communication Interface shall be followed by alternate setting 1.	<a href="#">DES_01</a>	M
<a href="#">[MBIM 1.0 - 3.2.2.3#2]</a>	For an NCM/MBIM function the Communication Interface descriptor for alternate setting 1 must have bInterfaceSubClass == 0Eh, and bInterfaceProtocol == 00h.	<a href="#">DES_01</a>	M
<a href="#">[MBIM 1.0 - 3.2.2.4#1]</a>	Functions that implement both NCM 1.0 and MBIM (an "NCM/MBIM function") shall provide three alternate settings for the Data Interface.	<a href="#">DES_01</a>	M
<a href="#">[MBIM 1.0 - 3.2.2.4#2]</a>	For an NCM/MBIM function the Data Interface descriptors for alternate settings 0 and 1 must have bInterfaceSubClass == 00h, and bInterfaceProtocol == 01h.	<a href="#">DES_01</a>	M
<a href="#">[MBIM 1.0 - 3.2.2.4#3]</a>	For an NCM/MBIM function the Data Interface descriptor for alternate setting 2 must have bInterfaceSubClass == 00h, and bInterfaceProtocol == 02h.	<a href="#">DES_01</a>	M
<a href="#">[MBIM 1.0 - 3.2.2.4#4]</a>	For an NCM/MBIM function there must be no endpoints for alternate setting 0 of the Data Interface. For each of the other two alternate settings (1 and 2) there must be exactly two endpoints: one Bulk IN and one Bulk OUT.	<a href="#">DES_01</a>	M
<a href="#">[MBIM 1.0 - 5.2.3#1]</a>	If the transfer is less than the configured Max NTB size and is multiple of the wMaxPacketSize the function must terminate the transfer with a ZLP.		COA

Assertion	Description	Test Identifier	Tags M (Mandatory) COA (Checklist Only Assertion)
<a href="#">[MBIM 1.0] - 6.1#1</a>	If an Interface Association Descriptor (IAD) is provided for the MBIM function, the IAD and the mandatory CDC Union Functional Descriptor specified for the MBIM function shall group together the same interfaces.	<a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.1#2</a>	If an Interface Association Descriptor (IAD) is provided for the MBIM only function, its interface class, subclass, and protocol codes shall match those given in the Communication Interface descriptor.	<a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.3#1</a>	The descriptor for alternate setting 0 of the Communication Interface of an MBIM only function shall have bInterfaceClass == 02h, bInterfaceSubClass == 0Eh, and bInterfaceProtocol == 00h.	<a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.3#2</a>	MBIM Communication Interface description shall include the following functional descriptors: <ul style="list-style-type: none"> <li>• CDC Header Functional Descriptor</li> <li>• CDC Union Functional Descriptor</li> <li>• MBIM Functional Descriptor</li> </ul> Refer to Table 6.2 of [USBMBIM10].	<a href="#">DES 01</a> , <a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.3#3</a>	CDC Header Functional Descriptor shall appear before CDC Union Functional Descriptor and before MBIM Functional Descriptor.	<a href="#">DES 01</a> , <a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.3#4</a>	CDC Union Functional Descriptor for an MBIM function shall group together the MBIM Communication Interface and the MBIM Data Interface.	<a href="#">DES 01</a> , <a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.3#5</a>	The class-specific descriptors must be followed by an Interrupt IN endpoint descriptor.	<a href="#">DES 01</a> , <a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.4#1</a>	Field wMaxControlMessage of MBIM Functional Descriptor must not be smaller than 64.	<a href="#">DES 01</a> , <a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.4#2</a>	Field bNumberFilters of MBIM Functional Descriptor must not be smaller than 16.	<a href="#">DES 01</a> , <a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.4#3</a>	Field bMaxFilterSize of MBIM Functional Descriptor must not exceed 192.	<a href="#">DES 01</a> , <a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.4#4</a>	Field wMaxSegmentSize of MBIM Functional Descriptor must not be smaller than 2048.	<a href="#">DES 01</a> , <a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.4#5</a>	Field bFunctionLength of MBIM Functional Descriptor must be 12 representing the size of the descriptor.	<a href="#">DES 01</a> , <a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.4#6</a>	Field bcdMBIMVersion of MBIM Functional Descriptor must be 0x0100 in little endian format.	<a href="#">DES 01</a> , <a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.4#7</a>	Field bmNetworkCapabilities of MBIM Functional Descriptor should have the following bits set to zero: D0, D1, D2, D4, D6 and D7.	<a href="#">DES 01</a> , <a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.5#1</a>	If MBIM Extended Functional Descriptor is provided, it must appear after MBIM Functional Descriptor.	<a href="#">DES 01</a> , <a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.5#2</a>	Field bFunctionLength of MBIM Extended Functional Descriptor must be 8 representing the size of the descriptor.	<a href="#">DES 01</a> , <a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.5#3</a>	Field bcdMBIMEFDVersion of MBIM Extended Functional Descriptor must be 0x0100 in little endian format.	<a href="#">DES 01</a> , <a href="#">DES 02</a>	M

Assertion	Description	Test Identifier	Tags M (Mandatory) COA (Checklist Only Assertion)
<a href="#">[MBIM 1.0] - 6.5#4</a>	Field bMaxOutstandingCommandMessages of MBIM Extended Functional Descriptor shall be greater than 0.	<a href="#">DES 01</a> , <a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.6#1</a>	The Data Interface for an MBIM only function shall provide two alternate settings.	<a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.6#2</a>	The first alternate setting for the Data Interface of an MBIM only function (the default interface setting, alternate setting 0) shall include no endpoints.	<a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.6#3</a>	The second alternate setting for the Data Interface of an MBIM only function (alternate setting 1) is used for normal operation, and shall include one Bulk IN endpoint and one Bulk OUT endpoint.	<a href="#">DES 02</a>	M
<a href="#">[MBIM 1.0] - 6.6#4</a>	For an MBIM only function the Data Interface descriptors for alternate settings 0 and 1 must have bInterfaceSubClass == 00h, and bInterfaceProtocol == 02h. Refer to Table 6.4 of [MBIM1.0].	<a href="#">DES 02</a>	M
<a href="#">[NCM 1.0] - 3.2.1#1</a>	The first four bytes in NTH16 shall be 0x484D434E in little-endian format ("NCMH").	<a href="#">DTS 02</a>	M
<a href="#">[NCM 1.0] - 3.2.1#2</a>	wHeaderLength value in NTH16 shall be 0x000C.	<a href="#">DTS 03</a>	M
<a href="#">[NCM 1.0] - 3.2.1#3</a>	wSequence in NTH16 shall be set to zero by the function in the first NTB transferred after every "function reset" event.	<a href="#">DTS 04</a>	M
<a href="#">[NCM 1.0] - 3.2.1#4</a>	wSequence value in NTH16 shall be incremented for every NTB subsequent transfer.	<a href="#">DTS 05</a>	M
<a href="#">[NCM 1.0] - 3.2.1#5</a>	NTB size (IN) shall not exceed dwNtbInMaxSize.	<a href="#">DTS 06</a>	M
<a href="#">[NCM 1.0] - 3.2.1#6</a>	wNdpIndex value in NTH16 must be a multiple of 4, and must be >= 0x000C, in little endian.	<a href="#">DTS 07</a>	M
<a href="#">[NCM 1.0] - 3.2.1#7</a>	If wBlockLength = 0x0000, the block is terminated by a short packet. In this case, the USB transfer must still be shorter than dwNtbInMaxSize or dwNtbOutMaxSize.		COA
<a href="#">[NCM 1.0] - 3.2.2#1</a>	The first four bytes in NTH32 shall be 0x686D636E in little-endian format ("ncmh").	<a href="#">DTS 08</a>	M
<a href="#">[NCM 1.0] - 3.2.2#2</a>	wHeaderLength value in NTH32 shall be 0x0010.	<a href="#">DTS 09</a>	M
<a href="#">[NCM 1.0] - 3.2.2#3</a>	wSequence in NTH32 shall be set to zero by the function in the first NTB transferred after every "function reset" event.	<a href="#">DTS 10</a>	M
<a href="#">[NCM 1.0] - 3.2.2#4</a>	wSequence value in NTH32 shall be incremented for every NTB subsequent transfer.	<a href="#">DTS 11</a>	M
<a href="#">[NCM 1.0] - 3.2.2#5</a>	NTB size (IN) shall not exceed dwNtbInMaxSize.	<a href="#">DTS 12</a>	M
<a href="#">[NCM 1.0] - 3.2.2#6</a>	dwNdpIndex value in NTH32 must be a multiple of 4, and must be >= 0x0010.	<a href="#">DTS 13</a>	M
<a href="#">[NCM 1.0] - 3.2.2#7</a>	If dwBlockLength = 0x0000, the block is terminated by a short packet. In this case, the USB transfer must still be shorter than dwNtbInMaxSize or dwNtbOutMaxSize.		COA

Assertion	Description	Test Identifier	Tags M (Mandatory) COA (Checklist Only Assertion)
<a href="#">[MBIM 1.0] - 7#1</a>	To distinguish among the data streams, the last character of the dwSignature in the NDP16 header shall be coded with the index SessionId specified by the host in the MBIM_CID_CONNECT. The first three symbols are encoded as ASCII characters in little-endian form plus a last byte in HEX (binary) format: "IPS"<SessionId>.	<a href="#">DTS 14</a>	M
<a href="#">[MBIM 1.0] - 7#2</a>	To distinguish among the data streams, the last character of the dwSignature in the NDP16 header shall be coded with the DssSessionId specified by the host in the MBIM_CID_DSS_CONNECT command. The first three symbols are encoded as ASCII characters in little-endian form plus a last byte in HEX (binary) format: "DSS"<DssSessionId>.		COA
<a href="#">[MBIM 1.0] - 7#3</a>	To distinguish among the data streams, the last character of the dwSignature in the NDP32 header shall be coded with the SessionId specified by the host in the MBIM_CID_CONNECT. The first three symbols are encoded as ASCII characters in little-endian form plus a last byte in HEX (binary) format: "ips"<SessionId>.	<a href="#">DTS 20</a>	M
<a href="#">[MBIM 1.0] - 7#4</a>	To distinguish among the data streams, the last character of the dwSignature in the NDP32 header shall be coded with the DssSessionId specified by the host in the MBIM_CID_DSS_CONNECT command. The first three symbols are encoded as ASCII characters in little-endian form plus a last byte in HEX (binary) format: "dss"<DssSessionId>.		COA
<a href="#">[NCM 1.0] - 3.3.1#1</a>	wLength value in NDP16 must be a multiple of 4, and must be at least 16d (0x0010).	<a href="#">DTS 15</a>	M
<a href="#">[NCM 1.0] - 3.3.1#2</a>	wDatagramIndex[0] value in NDP16 must be >= 0x000C (because it must point past the NTH16).	<a href="#">DTS 16</a>	M
<a href="#">[NCM 1.0] - 3.3.1#3</a>	wDatagramLength[0] value in NDP16 must be >= 20d if datagram payload is IPv4 and >= 40d if datagram payload is IPv6.	<a href="#">DTS 17</a>	M
<a href="#">[NCM 1.0] - 3.3.1#4</a>	wDatagramIndex[(wLength-8)/4 - 1] value in NDP16 must be zero.	<a href="#">DTS 18</a>	M
<a href="#">[NCM 1.0] - 3.3.1#5</a>	wDatagramLength[(wLength-8)/4 - 1] value in NDP16 must be zero.	<a href="#">DTS 19</a>	M
<a href="#">[NCM 1.0] - 3.3.2#1</a>	wLength value in NDP32 must be a multiple of 8, and must be at least 32d (0x0020).	<a href="#">DTS 21</a>	M
<a href="#">[NCM 1.0] - 3.3.2#2</a>	dwDatagramIndex[0] value in NDP32 must be >= 0x0010 (because it must point past the NTH32).	<a href="#">DTS 22</a>	M
<a href="#">[NCM 1.0] - 3.3.2#3</a>	dwDatagramLength[0] value in NDP32 must be >= 20d if datagram payload is IPv4 and >= 40d if datagram payload is IPv6.	<a href="#">DTS 23</a>	M
<a href="#">[NCM 1.0] - 3.3.2#4</a>	dwDatagramIndex[(wLength-8)/8 - 1] value of NDP32 must be zero.	<a href="#">DTS 24</a>	M
<a href="#">[NCM 1.0] - 3.3.2#5</a>	dwDatagramLength[(wLength-8)/8 - 1] value of NDP32 must be zero.	<a href="#">DTS 25</a>	M
<a href="#">[NCM 1.0] - 3.3.4</a>	The agent formatting a given NTB aligns the payload of each datagram by inserting padding, such that the offset of each datagram payload satisfies the constraint: Offset % wNdpInDivisor == wNdpInPayloadRemainder (for IN datagrams).	<a href="#">DTS 26</a>	M

Assertion	Description	Test Identifier	Tags M (Mandatory) COA (Checklist Only Assertion)
<a href="#">[NCM 1.0] - 3.4</a>	Functions shall not send NTBs larger than the host has requested.		COA
<a href="#">[NCM 1.0] - 3.7#1</a>	The first Null Datagram pointer entry in the NTB shall be interpreted as meaning that all following NCM Datagram Pointer Entries in the NDP are to be ignored.	<a href="#">DTS 27</a>	M
<a href="#">[NCM 1.0] - 3.7#2</a>	Transmitters are allowed to send a properly-formatted NTB containing an NDP whose datagram pointer entries are all zero. Receivers shall ignore such NTBs		COA
<a href="#">[MBIM 1.0] - 8.1#1</a>	The following requests must be supported by MBIM function: <ul style="list-style-type: none"> <li>• SendEncapsulatedCommand()</li> <li>• GetEncapsulatedResponse()</li> <li>• GetNtbParameters()</li> <li>• SetNtbInputSize()</li> <li>• GetNtbInputSize()</li> <li>• ResetFunction()</li> </ul>	<a href="#">CREQ 01</a>	M
<a href="#">[MBIM 1.0] - 8.1.2#1</a>	When the MBIM function is ready to send a control message to the host, the function must return a RESPONSE_AVAILABLE notification on the Communication Class interface's Interrupt IN endpoint.		COA
<a href="#">[MBIM 1.0] - 8.1.2#2</a>	The function must use a separate GET_ENCAPSULATED_RESPONSE transfer for each control message it has to send to the host.	<a href="#">CM 05</a>	M
<a href="#">[MBIM 1.0] - 8.1.2#3</a>	The function must send a RESPONSE_AVAILABLE notification for each available fragment of ENCAPSULATED_RESPONSE to be read from the default pipe.	<a href="#">CM 15</a>	M
<a href="#">[MBIM 1.0] - 8.1.2#4</a>	The ENCAPSULATED_RESPONSE must also be ZLP terminated if the size returned is a multiple of the bMaxPacketSize0 and is not equal to wLength in the GET_ENCAPSULATED_RESPONSE request.		COA
<a href="#">[MBIM 1.0] - 8.1.5</a>	In case of RESET_FUNCTION, the function shall abandon all outstanding transactions that are awaiting completion. No notifications shall be sent.		COA
<a href="#">[MBIM 1.0] - 9.1#1</a>	For notifications, the TransactionId must be set to 0 by the function.	<a href="#">CM 09</a>	M
<a href="#">[MBIM 1.0] - 9.1#2</a>	MessageLength in MBIM_MESSAGE_HEADER must be >= 0x0C	<a href="#">CM 02</a>	M
<a href="#">[MBIM 1.0] - 9.2</a>	Function should fragment responses based on MaxControlTransfer value from MBIM_OPEN_MSG.	<a href="#">CM 15</a>	M
<a href="#">[MBIM 1.0] - 9.3.1#1</a>	In case MBIM_OPEN_MSG message is sent to a function that is already opened, the function shall interpret this as that the host and the function are out of synchronization. The function shall then perform the actions dictated by the MBIM_CLOSE_MSG before it performs the actions dictated by this command. The function shall not send the MBIM_CLOSE_DONE when the transition to the Closed state has been completed. Only the MBIM_OPEN_DONE message is sent upon successful completion of this message.	<a href="#">CM 03</a>	M
<a href="#">[MBIM 1.0] - 9.3.2#1</a>	Between the host's sending MBIM_CLOSE_MSG message and the function's completing the request (acknowledged with MBIM_CLOSE_DONE), the function shall ignore any MBIM control messages it receives on the control plane or the data on the bulk pipes.	<a href="#">CM 11</a>	M

Assertion	Description	Test Identifier	Tags M (Mandatory) COA (Checklist Only Assertion)
<a href="#">[MBIM 1.0] - 9.3.2#2</a>	The function shall not send any MBIM control messages on the control plane or data on the bulk pipes after completing MBIM_CLOSE_MSG message (acknowledging it with the MBIM_CLOSE_DONE message) with one exception and that is MBIM_ERROR_NOT_OPENED.	<a href="#">CM 12</a>	M
<a href="#">[MBIM 1.0] - 9.3.2#3</a>	On MBIM_CLOSE_MSG, any active context between the function and the host shall be terminated.	<a href="#">CM 13</a>	M
<a href="#">[MBIM 1.0] - 9.3.4#1</a>	An MBIM_FUNCTION_ERROR_MSG shall not be sent in response to an MBIM_HOST_ERROR_MSG.		COA
<a href="#">[MBIM 1.0] - 9.3.4#2</a>	An MBIM_FUNCTION_ERROR_MSG shall not make use of a DataBuffer, so it cannot send any data payload.	<a href="#">CM 14</a>	M
<a href="#">[MBIM 1.0] - 9.3.4#3</a>	MBIM_ERROR_FRAGMENT_OUT_OF_SEQUENCE shall be sent by the function if it detects a fragmented message out of sequence.	<a href="#">ERR 02</a>	M
<a href="#">[MBIM 1.0] - 9.3.4#4</a>	MBIM_ERROR_LENGTH_MISMATCH shall be sent by the function if the InformationBufferLength with required padding does not match the total of MessageLength minus headers.	<a href="#">ERR 06</a>	M
<a href="#">[MBIM 1.0] - 9.3.4#5</a>	MBIM_ERROR_DUPLICATED_TID shall be sent by the function if two MBIM commands are detected with the same TID.	<a href="#">ERR 09</a>	M
<a href="#">[MBIM 1.0] - 9.3.4#6</a>	The function shall respond with MBIM_ERROR_NOT_OPENED error code if it receives any MBIM commands prior to an open command or after a close command.	<a href="#">ERR 12</a>	M
<a href="#">[MBIM 1.0] - 9.3.4#7</a>	MBIM_ERROR_UNKNOWN shall be sent by the function when an unknown error is detected on the MBIM layer.		COA
<a href="#">[MBIM 1.0] - 9.3.4#8</a>	MBIM_ERROR_MAX_TRANSFER shall be sent if the function does not support the maximum control transfer the host supports as specified in the MBIM_OPEN_MSG command.	<a href="#">ERR 14</a>	M
<a href="#">[MBIM 1.0] - 9.3.4.1#1</a>	A function that receives fragmented messages shall send an MBIM_ERROR_TIMEOUT_FRAGMENT if the time between the fragments exceeds 1250 ms.	<a href="#">ERR 15</a>	M
<a href="#">[MBIM 1.0] - 9.3.4.1#2</a>	A function that receives fragmented messages shall not send an MBIM_ERROR_TIMEOUT_FRAGMENT if the time between the fragments is less than 750 ms.	<a href="#">ERR 16</a>	M
<a href="#">[MBIM 1.0] - 9.3.4.1#3</a>	For MBIM_ERROR_TIMEOUT_FRAGMENT, the TransactionId of the responding message must match the TransactionId in the fragmented sequence that has the timing issue.	<a href="#">ERR 17</a>	M
<a href="#">[MBIM 1.0] - 9.3.4.1#4</a>	In case of a timeout error, the function shall discard all the packets with the same TransactionId as the fragmented message that has the timing issue.	<a href="#">ERR 18</a>	M
<a href="#">[MBIM 1.0] - 9.3.4.2#1</a>	The function shall stop transmitting the remaining packets with that TransactionId as soon as it receives the error message MBIM_ERROR_FRAGMENT_OUT_OF_SEQUENCE.		COA
<a href="#">[MBIM 1.0] - 9.3.4.2#2</a>	For MBIM_ERROR_FRAGMENT_OUT_OF_SEQUENCE, the TransactionId of the responding message must match the TransactionId in the faulty fragmented sequence.	<a href="#">ERR 03</a>	M
<a href="#">[MBIM 1.0] - 9.3.4.2#3</a>	In case of an out of a sequence error, the function shall discard all the packets with the same TransactionId as the faulty message sequence.	<a href="#">ERR 04</a>	M
<a href="#">[MBIM 1.0] - 9.3.4.2#4</a>	If the function gets one more message that is out of order for the same TransactionId, it shall send a new error message with the same TransactionId once more.	<a href="#">ERR 05</a>	M

Assertion	Description	Test Identifier	Tags M (Mandatory) COA (Checklist Only Assertion)
<a href="#">[MBIM 1.0] - 9.3.4.3#1</a>	For MBIM_ERROR_LENGTH_MISMATCH the TransactionId of the responding message must match the TransactionId of the faulty message.	<a href="#">ERR_07</a>	M
<a href="#">[MBIM 1.0] - 9.3.4.3#2</a>	In case of an MBIM_ERROR_LENGTH_MISMATCH all packets with the same TransactionId shall be discarded by the function.	<a href="#">ERR_08</a>	M
<a href="#">[MBIM 1.0] - 9.3.4.4#1</a>	For MBIM_ERROR_DUPLICATED_TID, the TransactionId of the responding message shall match the TransactionId of the duplicate message.	<a href="#">ERR_10</a>	M
<a href="#">[MBIM 1.0] - 9.3.4.4#2</a>	In case of an MBIM_ERROR_DUPLICATED_TID error, the function shall discard the newly arrived message.	<a href="#">ERR_11</a>	M
<a href="#">[MBIM 1.0] - 9.3.4.5#1</a>	If the host sends data traffic to the function while the function is in a "closed" state, the function shall respond with a MBIM_FUNCTION_ERROR_MSG status code MBIM_ERROR_NOT_OPENED.	<a href="#">ERR_13</a>	M
<a href="#">[MBIM 1.0] - 9.3.4.5#2</a>	If host sends MBIM_CLOSE_MSG while the function is still powering up, the function shall respond with an MBIM_FUNCTION_ERROR_MSG with MBIM_ERROR_NOT_OPENED status code.		COA
<a href="#">[MBIM 1.0] - 9.3.4.5#3</a>	The function must not send any MBIM_COMMAND_DONE message after it has received a MBIM_ERROR_CANCEL message.		COA
<a href="#">[MBIM 1.0] - 9.3.4.6#1</a>	For MBIM_ERROR_CANCEL the TransactionId of the responding message must match the TransactionId in the previous message in the sequence (if available).		COA
<a href="#">[MBIM 1.0] - 9.3.4.6#2</a>	In case of a cancel error, the function shall discard all the packets with the same TransactionId as indicated in the MBIM_ERROR_CANCEL message.	<a href="#">ERR_19</a>	M
<a href="#">[MBIM 1.0] - 9.4.1#1</a>	The function shall respond to the MBIM_OPEN_MSG message with an MBIM_OPEN_DONE message in which the TransactionId must match the TransactionId in the MBIM_OPEN_MSG.	<a href="#">CM_01</a>	M
<a href="#">[MBIM 1.0] - 9.4.1#2</a>	The Status field of MBIM_OPEN_DONE shall be set to MBIM_STATUS_SUCCESS if the function initialized successfully.	<a href="#">CM_01</a>	M
<a href="#">[MBIM 1.0] - 9.4.1#3</a>	The Status field of MBIM_OPEN_DONE shall be set to error code indicating failure if the function not initialized successfully.		COA
<a href="#">[MBIM 1.0] - 9.4.2#1</a>	The function shall respond to the MBIM_CLOSE_MSG message with an MBIM_CLOSE_DONE message in which the TransactionId must match the TransactionId in the MBIM_CLOSE_MSG.	<a href="#">CM_10</a>	M
<a href="#">[MBIM 1.0] - 9.4.2#2</a>	The Status field of MBIM_CLOSE_DONE shall always be set to MBIM_STATUS_SUCCESS.	<a href="#">CM_10</a>	M
<a href="#">[MBIM 1.0] - 9.4.3</a>	The function shall respond to the MBIM_COMMAND_MSG message with an MBIM_COMMAND_DONE message in which the TransactionId must match the TransactionId in the MBIM_COMMAND_MSG.	<a href="#">CM_04</a>	
<a href="#">[MBIM 1.0] - 9.4.5#1</a>	If the CID is successful, the function shall set the Status field to MBIM_STATUS_SUCCESS in the MBIM_COMMAND_DONE.	<a href="#">CM_06</a>	M
<a href="#">[MBIM 1.0] - 9.4.5#2</a>	If the function does not implement the CID, then the function shall fail the request with MBIM_STATUS_NO_DEVICE_SUPPORT.	<a href="#">CM_07</a>	M

Assertion	Description	Test Identifier	Tags M (Mandatory) COA (Checklist Only Assertion)
<a href="#">[MBIM 1.0] - 9.4.5#3</a>	If the Status field returned to the host is not equal to MBIM_STATUS_SUCCESS, the function must set the Information BufferLength to 0, indicating an empty InformationBuffer except the following CIDs: MBIM_CID_REGISTER_STATE MBIM_CID_PACKET_SERVICE MBIM_CID_CONNECT MBIM_CID_SERVICE_ACTIVATION.	<a href="#">CM_08</a>	M
<a href="#">[MBIM 1.0] - 9.5#1</a>	Function should transmit fragmented message to host without intermixing fragments from other messages.	<a href="#">CM_16</a>	M
<a href="#">[MBIM 1.0] - 10.3#1</a>	As per MBIM recommendations, representation of string(s) should met the following constraints: <ul style="list-style-type: none"> <li>• String offsets should be linear increasing.</li> <li>• String lengths must be a multiple of 2 (Unicode).</li> <li>• Offset of 0 means null string and must have a size of 0.</li> <li>• Strings must be non-overlapping.</li> <li>• String offset/size must be inside command/response buffer.</li> </ul>	<a href="#">CM_17</a>	M
<a href="#">[MBIM 1.0] - 10.3#2</a>	The function shall reject incoming messages that don't follow the rules for variable-length encoding by setting MBIM_STATUS_INVALID_PARAMETERS as the status code in the MBIM_COMMAND_DONE message.	<a href="#">ERR_01</a>	M
<a href="#">[MBIM 1.0] - 10.5.1.3#1</a>	Functions that support CDMA must specify MBIMCtrlCapsCdmaMobileIP, or MBIMCtrlCapsCdmaSimpleIP, or both flags to inform the host about the type of IP that the function supports.	<a href="#">CID_01</a>	M
<a href="#">[MBIM 1.0] - 10.5.1.3#2</a>	Functions for single-mode CDMA-based devices must not specify MBIMCtrlCapsRegManual flag.	<a href="#">CID_02</a>	M
<a href="#">[MBIM 1.0] - 10.5.1.3#3</a>	As the connection credentials (AccessString, UserName, and Password) for simple IP are pre-configured, function firmware that supports both simple IP and mobile IP must report both capabilities, regardless of the runtime		COA
<a href="#">[MBIM 1.0] - 10.5.1.5#1</a>	For GSM-based and multi-mode functions, the string DeviceId of MBIM_DEVICE_CAPS_INFO must conform to the International Mobile Equipment Identity (IMEI) format (up to 15 digits).	<a href="#">CID_03</a>	M
<a href="#">[MBIM 1.0] - 10.5.1.5#2</a>	For single-mode CDMA-based functions, the string DeviceId of MBIM_DEVICE_CAPS_INFO must conform to either the Electronic Serial Number (ESN, 8 or 11 digits) or the Mobile Equipment Identifier (MEID, 14 or 18 digits) formats.	<a href="#">CID_04</a>	M
<a href="#">[MBIM 1.0] - 10.5.1.5#3</a>	If DataClass bitmask in MBIM_DEVICE_CAPS_INFO structure does not contain 80000000h, then CustomDataClassOffset field is reserved and shall be encoded as zero by the function.	<a href="#">CID_05</a>	M
<a href="#">[MBIM 1.0] - 10.5.1.5#4</a>	If DataClass bitmask in MBIM_DEVICE_CAPS_INFO structure contains 80000000h, then CustomDataClassOffset and CustomDataClassSize shall not be zero.	<a href="#">CID_06</a>	M
<a href="#">[MBIM 1.0] - 10.5.1.5#5</a>	DEVICE_CAPS_INFO's MaxSessions field value should be <= 256d.	<a href="#">CID_07</a>	M
<a href="#">[MBIM 1.0] - 10.5.2.1#1</a>	After the SIM is unlocked, the function must send a MBIM_CID_SUBSCRIBER_READY_STATUS event notification with ReadyState set to the SIM card's new state.		COA
<a href="#">[MBIM 1.0] - 10.5.2.1#2</a>	Functions must report all device ready-state changes as an unsolicited event.		COA

Assertion	Description	Test Identifier	Tags M (Mandatory) COA (Checklist Only Assertion)
<a href="#">[MBIM 1.0] - 10.5.2.1#3</a>	After the MBIM_OPEN_DONE message has been sent, the function shall always notify the host whenever the SIM ReadyState changes, using MBIM_INDICATE_STATUS_MSG with UUID_BASIC_CONNECT and MBIM_CID_SUBSCRIBER_READY_STATUS.  This will not happen when notifications of this CID have been disabled with 10.5.30 MBIM_CID_DEVICE_SERVICE_SUBSCRIBE_LIST.		COA
<a href="#">[MBIM 1.0] - 10.5.2.1#4</a>	If the SIM card has been initialized and the SIM requires PIN1 or PUK1 to be entered, the ReadyState is MBIMSubscriberReadyStateLocked.		COA
<a href="#">[MBIM 1.0] - 10.5.2.3#1</a>	The function must provide a valid SubscriberId when the device ready-state is in MBIMSubscriberReadyStateInitialized.		COA
<a href="#">[MBIM 1.0] - 10.5.2.3#2</a>	Functions must provide a valid SimIccId when the function's ready-state changes to MBIMSubscriberReadyStateInitialized as well as when the function is locked, waiting for entry of PIN1 and PUK1 keys.		COA
<a href="#">[MBIM 1.0] - 10.5.2.3#3</a>	Functions must specify SimIccIdOffset value for all devices where MBIMCellularClass equals MBIMCellularClassGsm.		COA
<a href="#">[MBIM 1.0] - 10.5.2.3#4</a>	Functions of CDMA-based devices must specify SimIccIdOffset value for devices where SimClass equals MBIMSimClassSimRemovable.		COA
<a href="#">[MBIM 1.0] - 10.5.2.5#1</a>	Functions shall not return telephone numbers until the device ready-state changes to MBIMSubscriberReadyStateInitialized.		COA
<a href="#">[MBIM 1.0] - 10.5.2.5#2</a>	If the ready state is not initialized, the function shall set ElementCount to zero, and shall not return any TNs on MBIM_SUBSCRIBER_READY_INFO.		COA
<a href="#">[MBIM 1.0] - 10.5.3.6#1</a>	If the device does not specify MBIMCtrlCapsHwRadioSwitch the function must return MBIMRadioOn in HwRadioState field.	<a href="#">CID_08</a>	M
<a href="#">[MBIM 1.0] - 10.5.4.1#2</a>	CDMA function must report the power-on device lock as PIN1		COA
<a href="#">[MBIM 1.0] - 10.5.4.1#3</a>	For all supported PIN types, functions must support the MBIMPinOperationEnter operation.		COA
<a href="#">[MBIM 1.0] - 10.5.4.1#4</a>	If PIN1 is supported, functions must support the MBIMPinOperationEnable, MBIMPinOperationDisable, and MBIMPinOperationChange operations.		COA
<a href="#">[MBIM 1.0] - 10.5.4.1#5</a>	If there are multiple PINs in enable state then functions must report PIN1 first.		COA
<a href="#">[MBIM 1.0] - 10.5.4.6#1</a>	Functions that do not support reporting RemainingAttempts should set this member to 0xffffffff.		COA
<a href="#">[MBIM 1.0] - 10.5.5.1#1</a>	A PIN reported as PIN1 must comply with PIN1 guidelines: for CDMA-based functions this is a PIN that provides power-on verification or identification functionality, and for GSM-based functions this is a Subscriber Identity Module (SIM) PIN.		COA
<a href="#">[MBIM 1.0] - 10.5.5.1#2</a>	Functions must be able to return MBIM_CID_PIN_LIST information when the device ready-state changes to MBIMSubscriberReadyStateInitialized or when the device ready-state is MBIMSubscriberReadyStateDeviceLocked (PIN locked).		COA
<a href="#">[MBIM 1.0] - 10.5.5.1#3</a>	The command MBIM_CID_PIN_LIST must report all the PINs supported by the function.		COA

Assertion	Description	Test Identifier	Tags M (Mandatory) COA (Checklist Only Assertion)
<a href="#">[MBIM 1.0] - 10.5.6.4#1</a>	Functions that do not support MBIM_CTRL_CAPS_MODEL_MULTI_CARRIER should set CellularClass field to zero.		COA
<a href="#">[MBIM 1.0] - 10.5.6.4#2</a>	Functions that do not support MBIM_CTRL_CAPS_MODEL_MULTI_CARRIER should set ErrorRate field to zero.		COA
<a href="#">[MBIM 1.0] - 10.5.9.1#1</a>	Functions that support manual registration must set the ControlCaps member in MBIM_DEVICE_CAPS_INFO structure to MBIM_CTRL_CAPS_REG_MANUAL.		COA
<a href="#">[MBIM 1.0] - 10.5.9.8</a>	Functions that support CDMA must return MBIM_STATUS_NO_DEVICE_SUPPORT error code upon receiving a request for manual registration with a CDMA provider.		COA
<a href="#">[MBIM 1.0] - 10.5.12.1#1</a>	Once a function has indicated an IP data stream session as unavailable to the host, the function must not automatically make the IP data stream session available again to the host.		COA
<a href="#">[MBIM 1.0] - 10.5.12.1#2</a>	On MBIM_CID_CONNECT set request, the Host may specify an IP type to activate. If a value other than MBIMContextIPTypeDefault is specified, the function must only activate that context.	<a href="#">CID_09</a>	M
<a href="#">[MBIM 1.0] - 10.5.12.1#3</a>	Functions must only send MBIM_COMMAND_DONE for MBIM_CID_CONNECT's Set request after they have successfully activated or deactivated an IP data stream session, or detected an error.	<a href="#">CID_10</a>	M
<a href="#">[MBIM 1.0] - 10.5.12.1#4</a>	Function must use the value in MBIM_SET_CONNECT' SessionId member when completing set requests.	<a href="#">CID_11</a>	M
<a href="#">[MBIM 1.0] - 10.5.12.1#5</a>	When processing a MBIM_CID_CONNECT Set request and no IP data stream exists on the radio interface, the function shall try to establish it to the requested APN on the radio interface and make it available to the host.		COA
<a href="#">[MBIM 1.0] - 10.5.12.1#6</a>	If the function receives a request to de-activate a context that is not currently activated, it shall respond with MBIM_STATUS_CONTEXT_NOT_ACTIVATED.	<a href="#">CID_12</a>	M
<a href="#">[MBIM 1.0] - 10.5.12.1#7</a>	If the function receives a Set request for MBIM_CID_CONNECT for a given SessionId while processing another Set request for MBIM_CID_CONNECT for that SessionId, the function shall fail the second Set request returning MBIM_STATUS_BUSY and continue processing the original Set request.		COA
<a href="#">[MBIM 1.0] - 10.5.20.7</a>	If the function receives MBIM_CID_IP_CONFIGURATION query with SessionId specifying a context that is not currently activated, it shall respond with MBIM_STATUS_CONTEXT_NOT_ACTIVATED.	<a href="#">CID_13</a>	M
<a href="#">[MBIM 1.0] - 10.5.29.1#1</a>	Each device service supported by the device must have a separate MBIM_DEVICE_SERVICE_ELEMENT entry.		COA
<a href="#">[MBIM 1.0] - 10.5.29.1#2</a>	There must be CidCount number of entries in the list of CIDs located in MBIM_DEVICE_SERVICE_ELEMENT structure.	<a href="#">CID_14</a>	M

Assertion	Description	Test Identifier	Tags M (Mandatory) COA (Checklist Only Assertion)
<a href="#">[MBIM 1.0] - 11.2</a>	The mandatory to implement functionality comprises the following CIDs from the Basic Connectivity Service: <ul style="list-style-type: none"> <li>• MBIM_CID_DEVICE_CAPS</li> <li>• MBIM_CID_SUBSCRIBER_READY_INFO</li> <li>• MBIM_CID_RADIO_STATE</li> <li>• MBIM_CID_PIN</li> <li>• MBIM_CID_HOME_PROVIDER</li> <li>• MBIM_CID_REGISTER_STATE</li> <li>• MBIM_CID_SIGNAL_STATE</li> <li>• MBIM_CID_CONNECT</li> <li>• MBIM_CID_IP_CONFIGURATION_INFO</li> <li>• MBIM_CID_DEVICE_SERVICES</li> <li>• MBIM_CID_PACKET_SERVICE</li> </ul>	<a href="#">CID_15</a>	M

## 4 Check Only Assertions (Checklist)

Assertion	Description	YES / NO / NA
<a href="#">[MBIM 1.0] - 3.2.1#4</a>	When alternate setting 0 of the Communication Interface of an NCM/MBIM function is selected, the function shall operate according to the NCM rules given in [USBNCM10]. In particular, NTBs shall transport Ethernet frames, not IP datagrams.	
<a href="#">[MBIM 1.0] - 5.2.3#1</a>	If the transfer is less than the configured Max NTB size and is multiple of the wMaxPacketSize the function must terminate the transfer with a ZLP.	
<a href="#">[NCM 1.0] - 3.2.1#7</a>	If wBlockLength = 0x0000, the block is terminated by a short packet. In this case, the USB transfer must still be shorter than dwNtbnMaxSize or dwNtbOutMaxSize.	
<a href="#">[NCM 1.0] - 3.2.2#7</a>	If dwBlockLength = 0x0000, the block is terminated by a short packet. In this case, the USB transfer must still be shorter than dwNtbnMaxSize or dwNtbOutMaxSize.	
<a href="#">[MBIM 1.0] - 7#2</a>	To distinguish among the data streams, the last character of the dwSignature in the NDP16 header shall be coded with the DssSessionId specified by the host in the MBIM_CID_DSS_CONNECT command. The first three symbols are encoded as ASCII characters in little-endian form plus a last byte in HEX (binary) format: "DSS"<DssSessionId>.	
<a href="#">[MBIM 1.0] - 7#4</a>	To distinguish among the data streams, the last character of the dwSignature in the NDP32 header shall be coded with the DssSessionId specified by the host in the MBIM_CID_DSS_CONNECT command. The first three symbols are encoded as ASCII characters in little-endian form plus a last byte in HEX (binary) format: "dss"<DssSessionId>.	
<a href="#">[NCM 1.0] - 3.4</a>	Functions shall not send NTBs larger than the host has requested.	
<a href="#">[NCM 1.0] - 3.7#2</a>	Transmitters are allowed to send a properly-formatted NTB containing an NDP whose datagram pointer entries are all zero. Receivers shall ignore such NTBs	
<a href="#">[MBIM 1.0] - 8.1.2#1</a>	When the MBIM function is ready to send a control message to the host, the function must return a RESPONSE_AVAILABLE notification on the Communication Class interface's Interrupt IN endpoint.	
<a href="#">[MBIM 1.0] - 8.1.2#4</a>	The ENCAPSULATED_RESPONSE must also be ZLP terminated if the size returned is a multiple of the bMaxPacketSize0 and is not equal to wLength in the GET_ENCAPSULATED_RESPONSE request.	
<a href="#">[MBIM 1.0] - 8.1.5</a>	In case of RESET_FUNCTION, the function shall abandon all outstanding transactions that are awaiting completion. No notifications shall be sent.	
<a href="#">[MBIM 1.0] - 9.3.4#1</a>	An MBIM_FUNCTION_ERROR_MSG shall not be sent in response to an MBIM_HOST_ERROR_MSG.	
<a href="#">[MBIM 1.0] - 9.3.4#7</a>	MBIM_ERROR_UNKNOWN shall be sent by the function when an unknown error is detected on the MBIM layer.	
<a href="#">[MBIM 1.0] - 9.3.4.2#1</a>	The function shall stop transmitting the remaining packets with that TransactionId as soon as it receives the error message MBIM_ERROR_FRAGMENT_OUT_OF_SEQUENCE.	
<a href="#">[MBIM 1.0] - 9.3.4.5#2</a>	If host sends MBIM_CLOSE_MSG while the function is still powering up, the function shall respond with an MBIM_FUNCTION_ERROR_MSG with MBIM_ERROR_NOT_OPENED status code.	

Assertion	Description	YES / NO / NA
<a href="#">[MBIM 1.0] - 9.3.4.5#3</a>	The function must not send any MBIM_COMMAND_DONE message after it has received a MBIM_ERROR_CANCEL message.	
<a href="#">[MBIM 1.0] - 9.3.4.6#1</a>	For MBIM_ERROR_CANCEL the TransactionId of the responding message must match the TransactionId in the previous message in the sequence (if available).	
<a href="#">[MBIM 1.0] - 9.4.1#3</a>	The Status field of MBIM_OPEN_DONE shall be set to error code indicating failure if the function not initialized successfully.	
<a href="#">[MBIM 1.0] - 10.5.1.3#3</a>	As the connection credentials (AccessString, UserName, and Password) for simple IP are pre-configured, function firmware that supports both simple IP and mobile IP must report both capabilities, regardless of the runtime	
<a href="#">[MBIM 1.0] - 10.5.2.1#1</a>	After the SIM is unlocked, the function must send a MBIM_CID_SUBSCRIBER_READY_STATUS event notification with ReadyState set to the SIM card's new state.	
<a href="#">[MBIM 1.0] - 10.5.2.1#2</a>	Functions must report all device ready-state changes as an unsolicited event.	
<a href="#">[MBIM 1.0] - 10.5.2.1#3</a>	After the MBIM_OPEN_DONE message has been sent, the function shall always notify the host whenever the SIM ReadyState changes, using MBIM_INDICATE_STATUS_MSG with UUID_BASIC_CONNECT and MBIM_CID_SUBSCRIBER_READY_STATUS.  This will not happen when notifications of this CID have been disabled with 10.5.30 MBIM_CID_DEVICE_SERVICE_SUBSCRIBE_LIST.	
<a href="#">[MBIM 1.0] - 10.5.2.1#4</a>	If the SIM card has been initialized and the SIM requires PIN1 or PUK1 to be entered, the ReadyState is MBIMSubscriberReadyStateLocked.	
<a href="#">[MBIM 1.0] - 10.5.2.3#1</a>	The function must provide a valid SubscriberId when the device ready-state is in MBIMSubscriberReadyStateInitialized.	
<a href="#">[MBIM 1.0] - 10.5.2.3#2</a>	Functions must provide a valid SimIccId when the function's ready-state changes to MBIMSubscriberReadyStateInitialized as well as when the function is locked, waiting for entry of PIN1 and PUK1 keys.	
<a href="#">[MBIM 1.0] - 10.5.2.3#3</a>	Functions must specify SimIccIdOffset value for all devices where MBIMCellularClass equals MBIMCellularClassGsm.	
<a href="#">[MBIM 1.0] - 10.5.2.3#4</a>	Functions of CDMA-based devices must specify SimIccIdOffset value for devices where SimClass equals MBIMSimClassSimRemovable.	
<a href="#">[MBIM 1.0] - 10.5.2.5#1</a>	Functions shall not return telephone numbers until the device ready-state changes to MBIMSubscriberReadyStateInitialized.	
<a href="#">[MBIM 1.0] - 10.5.2.5#2</a>	If the ready state is not initialized, the function shall set ElementCount to zero, and shall not return any TNs on MBIM_SUBSCRIBER_READY_INFO.	
<a href="#">[MBIM 1.0] - 10.5.4.1#2</a>	CDMA function must report the power-on device lock as PIN1	
<a href="#">[MBIM 1.0] - 10.5.4.1#3</a>	For all supported PIN types, functions must support the MBIMPinOperationEnter operation.	
<a href="#">[MBIM 1.0] - 10.5.4.1#4</a>	If PIN1 is supported, functions must support the MBIMPinOperationEnable, MBIMPinOperationDisable, and MBIMPinOperationChange operations.	
<a href="#">[MBIM 1.0] - 10.5.4.1#5</a>	If there are multiple PINs in enable state then functions must report PIN1 first.	

Assertion	Description	YES / NO / NA
<a href="#">[MBIM 1.0] - 10.5.4.6#1</a>	Functions that do not support reporting RemainingAttempts should set this member to 0xffffffff.	
<a href="#">[MBIM 1.0] - 10.5.5.1#1</a>	A PIN reported as PIN1 must comply with PIN1 guidelines: for CDMA-based functions this is a PIN that provides power-on verification or identification functionality, and for GSM-based functions this is a Subscriber Identity Module (SIM) PIN.	
<a href="#">[MBIM 1.0] - 10.5.5.1#2</a>	Functions must be able to return MBIM_CID_PIN_LIST information when the device ready-state changes to MBIMSubscriberReadyStateInitialized or when the device ready-state is MBIMSubscriberReadyStateDeviceLocked (PIN locked).	
<a href="#">[MBIM 1.0] - 10.5.5.1#3</a>	The command MBIM_CID_PIN_LIST must report all the PINs supported by the function.	
<a href="#">[MBIM 1.0] - 10.5.6.4#1</a>	Functions that do not support MBIM_CTRL_CAPS_MODEL_MULTI_CARRIER should set CellularClass field to zero.	
<a href="#">[MBIM 1.0] - 10.5.6.4#2</a>	Functions that do not support MBIM_CTRL_CAPS_MODEL_MULTI_CARRIER should set ErrorRate field to zero.	
<a href="#">[MBIM 1.0] - 10.5.9.1#1</a>	Functions that support manual registration must set the ControlCaps member in MBIM_DEVICE_CAPS_INFO structure to MBIM_CTRL_CAPS_REG_MANUAL.	
<a href="#">[MBIM 1.0] - 10.5.9.8</a>	Functions that support CDMA must return MBIM_STATUS_NO_DEVICE_SUPPORT error code upon receiving a request for manual registration with a CDMA provider.	
<a href="#">[MBIM 1.0] - 10.5.12.1#1</a>	Once a function has indicated an IP data stream session as unavailable to the host, the function must not automatically make the IP data stream session available again to the host.	
<a href="#">[MBIM 1.0] - 10.5.12.1#5</a>	When processing a MBIM_CID_CONNECT Set request and no IP data stream exists on the radio interface, the function shall try to establish it to the requested APN on the radio interface and make it available to the host.	
<a href="#">[MBIM 1.0] - 10.5.12.1#7</a>	If the function receives a Set request for MBIM_CID_CONNECT for a given SessionId while processing another Set request for MBIM_CID_CONNECT for that SessionId, the function shall fail the second Set request returning MBIM_STATUS_BUSY and continue processing the original Set request.	
<a href="#">[MBIM 1.0] - 10.5.29.1#1</a>	Each device service supported by the device must have a separate MBIM_DEVICE_SERVICE_ELEMENT entry.	

## 5 Standard Test Sequences

This section contains test sequences that are common for different tests described in section 6 of this document. Some tests require pre-execution of these sequences as a precondition.

**NOTE:** If a standard test sequence is used in a test all its steps shall be passed successfully, unless otherwise specified.

### 5.1 “Get Descriptors” Sequence

1. Place the device in the desired starting state.
2. Send GetDescriptor() request using the following parameters:
  - o wValue – high byte set to 2d (Configuration), low byte set to the desired configuration value
  - o wIndex – set to 0d
  - o wLength – 9d

Test fails if the device returns bLength not equal to 9d.

3. Send GetDescriptor() request using the following parameters:
  - o wValue – high byte set to 2d (Configuration), low byte set to the desired configuration value
  - o wIndex – set to 0d
  - o wLength – wTotalLength (All of the Configuration Set)
4. Parse the returned data.

Note: For all tests where this test sequence is listed as a precondition the sequence can be performed either once or multiple times, before each individual test; in the first case the returned data is stored to be analyzed later.

### 5.2 “MBIM Open – NTB-16” Sequence

1. Execute [“Get Descriptors”](#) sequence for the selected configuration if it has not been already executed.
2. Determine the number of Communication Interface and the number of Data Interface for the desired MBIM (or NCM/MBIM) function. Find and parse MBIM Functional Descriptor.
3. Select alternate setting 0d of the interface determined in step 2 for the Data Interface using SetInterface() request.
4. For NCM/MBIM function select alternate setting 1d for the interface determined in step 2 for the Communication Interface using SetInterface() request. Ignore this step if the function is MBIM only.
5. Send ResetFunction() request using the following parameter:
  - o wIndex – set to the number of Communication Interface determined in step 2
6. Send GetNtbParameters() request using the following parameters:
  - o wIndex – set to the number of the Communication Interface determined in step 2
  - o wLength – set to 28d
7. Verify that bit 0 of bmNtbFormatsSupported field of the NTB Parameter Structure returned as a result of GetNtbParameters() request in step 6 is set to 1.
8. If bit 1 of bmNtbFormatsSupported field is set to 1, send SetNtbFormat() request using the following parameters:
  - o wIndex – set to the number of the Communication Interface determined in step 2
  - o wValue – set 0d (NTB-16)
  - o wLength – set to 0d
9. Send SetNtbInputSize() request using the following parameters:
  - o wIndex – set to the number of the Communication Interface determined in step 2
  - o wLength – set to 4d
  - o dwNtbInMaxSize field in the Data part – set to the value from dwNtbInMaxSize field of the NTB Parameter Structure returned as a result of the GetNtbParameters() request in step 6
10. If bit D3 in bmNetworkCapabilities field of the MBIM Functional Descriptor parsed in step 2 is set, send SetMaxDatagramSize() request using the following parameters:
  - o wIndex – set to the number of the Communication Interface determined in step 2
  - o wLength – set to 2d
  - o Data – set to 1514d
11. Select alternate setting 1d (for MBIM only function) or 2d (for NCM/MBIM function) of the interface determined in step 2 for the Data Interface using SetInterface() request.
12. Send MBIM\_OPEN\_MSG message using the following parameters:
  - o MessageLength – set to 16d
  - o TransactionId – set to 1
  - o MaxControlTransfer – set to wMaxControlMessage value from MBIM Functional Descriptor parsed in step 2
13. Retrieve an MBIM\_OPEN\_DONE response with TransactionId used in step 12.
14. Verify that the MBIM\_OPEN\_DONE response has been returned with Status == MBIM\_STATUS\_SUCCESS.

### 5.3 “MBIM Open – NTB-32” Sequence

1. Execute “[Get Descriptors](#)” sequence for the selected configuration if it has not been already executed.
2. Determine the number of Communication Interface and the number of Data Interface for the desired MBIM (or NCM/MBIM) function. Find and parse MBIM Functional Descriptor.
3. Select alternate setting 0d of the interface determined in step 2 for the Data Interface using SetInterface() request.
4. For NCM/MBIM function select alternate setting 1d for the interface determined in step 2 for the Communication Interface using SetInterface() request. Ignore this step if the function is MBIM only.
5. Send ResetFunction() request using the following parameter:
  - o wIndex – set to the number of Communication Interface determined in step 2
6. Send GetNtbParameters() request using the following parameters:
  - o wIndex – set to the number of the Communication Interface determined in step 2
  - o wLength – set to 28d
7. Verify that bit 1 of bmNtbFormatsSupported field of the NTB Parameter Structure returned as a result of GetNtbParameters() request in step 6 is set to 1.
8. Send SetNtbFormat() request using the following parameters:
  - o wIndex – set to the number of the Communication Interface determined in step 2
  - o wValue – set 1d (NTB-32)
  - o wLength – set to 0d
9. Send SetNtbInputSize() request using the following parameters:
  - o wIndex – set to the number of the Communication Interface determined in step 2
  - o wLength – set to 4d
  - o dwNtbInMaxSize field in the Data part – set to the value from dwNtbInMaxSize field of the NTB Parameter Structure returned as a result of the GetNtbParameters() request in step 6
10. If bit D3 in bmNetworkCapabilities field of the MBIM Functional Descriptor parsed in step 2 is set, send SetMaxDatagramSize() request using the following parameters:
  - o wIndex – set to the number of the Communication Interface determined in step 2
  - o wLength – set to 2d
  - o Data – set to 1514d
11. Select alternate setting 1d (for MBIM only function) or 2d (for NCM/MBIM function) of the interface determined in step 2 for the Data Interface using SetInterface() request.
12. Send MBIM\_OPEN\_MSG message using the following parameters:
  - o MessageLength – set to 16d
  - o TransactionId – set to 1d
  - o MaxControlTransfer – set to wMaxControlMessage value from MBIM Functional Descriptor parsed in step 2
13. Retrieve an MBIM\_OPEN\_DONE response with TransactionId used in step 12.
14. Verify that the MBIM\_OPEN\_DONE response has been returned with Status == MBIM\_STATUS\_SUCCESS.

### 5.4 “MBIM Open” Generic Sequence

1. Execute “[Get Descriptors](#)” sequence for the selected configuration if it has not been already executed.
2. Determine the number of Communication Interface and the number of Data Interface for the desired MBIM (or NCM/MBIM) function. Find and parse MBIM Functional Descriptor.
3. Select alternate setting 0d of the interface determined in step 2 for the Data Interface using SetInterface() request.
4. For NCM/MBIM function select alternate setting 1d for the interface determined in step 2 for the Communication Interface using SetInterface() request. Ignore this step if the function is MBIM only.
5. Send ResetFunction() request using the following parameter:
  - o wIndex – set to the number of Communication Interface determined in step 2
6. Send GetNtbParameters() request using the following parameters:
  - o wIndex – set to the number of the Communication Interface determined in step 2
  - o wLength – set to 28d
7. If bit 1 of bmNtbFormatsSupported field of the NTB Parameter Structure returned as a result of GetNtbParameters() request in step 6 is set to 1, send SetNtbFormat() request using the following parameters:
  - o wIndex – set to the number of the Communication Interface determined in step 2
  - o wValue – set 1d (NTB-32)
  - o wLength – set to 0d
8. Send SetNtbInputSize() request using the following parameters:
  - o wIndex – set to the number of the Communication Interface determined in step 2
  - o wLength – set to 4d
  - o dwNtbInMaxSize field in the Data part – set to the value from dwNtbInMaxSize field of the NTB Parameter Structure returned as a result of the GetNtbParameters() request in step 6
9. If bit D3 in bmNetworkCapabilities field of the MBIM Functional Descriptor parsed in step 2 is set, send SetMaxDatagramSize() request using the following parameters:
  - o wIndex – set to the number of the Communication Interface determined in step 2
  - o wLength – set to 2d
  - o Data – set to 1514d

10. Select alternate setting 1d (for MBIM only function) or 2d (for NCM/MBIM function) of the interface determined in step 2 for the Data Interface using SetInterface() request.
11. Send MBIM\_OPEN\_MSG message using the following parameters:
  - o MessageLength – set to 16d
  - o TransactionId – set 1d
  - o MaxControlTransfer – set to wMaxControlMessage value from MBIM Functional Descriptor parsed in step 2
12. Retrieve an MBIM\_OPEN\_DONE response with TransactionId used in step 11.
13. Verify that the MBIM\_OPEN\_DONE response has been returned with Status == MBIM\_STATUS\_SUCCESS.

## 5.5 “MBIM Close” Sequence

1. Send MBIM\_CLOSE\_MSG using the following parameters:
  - o MessageLength – set to 12d
  - o TransactionId – set to previous TransactionId + 1
2. Retrieve an MBIM\_CLOSE\_DONE response with TransactionId used in step 1.
3. Verify that the MBIM\_CLOSE\_DONE response has been returned with Status == MBIM\_STATUS\_SUCCESS.

## 5.6 “Connect” Sequence

1. Send MBIM\_COMMAND\_MSG using the following parameters:
  - o MessageLength – set to 124d
  - o TransactionId – set to previous TransactionId + 1
  - o TotalFragments – set to 1d (assuming that the MaxControlTransfer value used in MBIM\_OPEN\_MSG message is larger or equal to 124d; if it is smaller than 124d, refer to section 9.5 of [\[MBIM 1.0\]](#) for information on how to fragment the message)
  - o CurrentFragment – set to 0d
  - o DeviceServiceId – set to a289cc33-bcbb-8b4f-b6b0-133ec2aae6df (UUID\_BASIC\_CONNECT)
  - o CID – set to 12d (MBIM\_CID\_CONNECT)
  - o CommandType – set to 1d (Set)
  - o InformationBufferLength – set to 76d
  - o InformationBuffer (contains MBIM\_SET\_CONNECT structure)
    - SessionId – set to 0d
    - ActivationCommand – set to 1d (MBIMActivationCommandActivate)
    - AccessStringOffset – set to 60d
    - AccessStringSize – set to 16d
    - UserNameOffset – set to 0d
    - UserNameSize – set to 0d
    - PasswordOffset – set to 0d
    - PasswordSize – set to 0d
    - Compression – set to 0d (MBIMCompressionNone)
    - AuthProtocol – set to 0d (MBIMAuthProtocolNone)
    - IPType – set to 1d (MBIMContextIPTypIPv4)
    - ContextType – set to 7E5E2A7E-4E6F-7272-736B-656E7E5E2A7E (MBIMContextTypeInternet)
    - DataBuffer – set to “loopback” coded in UTF-16LE
2. Retrieve an MBIM\_COMMAND\_DONE response with TransactionId, DeviceServiceId and CID from step 1.
3. Verify that the MBIM\_COMMAND\_DONE response has been returned with Status == MBIM\_STATUS\_SUCCESS.

## 5.7 “Loopback NTB-16” Sequence

1. Make sure “Connect” sequence has been executed successfully (execute if necessary).
2. Send the following NTB (16-bit NTB, IPv4 “ping” packet):

```

o 0x4E 0x43 0x4D 0x48 0x0C 0x00 0x## 0x## 0x80 0x00 0x70 0x00 0x00 0x00 0x00 0x00
o 0x00 0x00
o 0x45 0x00 0x00 0x46 0x00 0x00 0x00 0x00 0x01 0xBC 0xB4 0x7F 0x00 0x00 0x01
o 0x7F 0x00 0x00 0x02 0x00 0x00 0x00 0x00 0x00 0x00 0x01 0x61 0x62 0x63 0x64
o 0x65 0x66 0x67 0x68 0x69 0x6A 0x6B 0x6C 0x6D 0x6E 0x6F 0x70 0x71 0x72 0x73 0x74
o 0x75 0x76 0x77 0x61 0x62 0x63 0x64 0x65 0x66 0x67 0x68 0x69 0x00 0x00 0x00 0x00
o 0x00 0x00
o 0x49 0x50 0x53 0x30 0x10 0x00 0x00 0x20 0x00 0x3C 0x00 0x00 0x00 0x00 0x00 0x00

```

The above mentioned NTB packet contains following parameters:

NTB specific parameters and their values:

wNdpOutDivisor - 32

```

wNdpOutPayloadRemainder - 0
NTH16 dwSignature - 0x4E 0x43 0x4D 0x48 ("NCMH")
NTH16 wHeaderLength - 0x0C 0x00 (0x000C)
NTH16 wSequence - 0x## 0x## (sequence number)
NTH16 wBlockLength - 0x80 0x00 (0x0080)
NTH16 wNdpIndex - 0x70 0x00 (0x0070)

NDP16 dwSignature - 0x49 0x50 0x53 0x30 ("IPSO")
NDP16 wLength - 0x10 0x00 (0x0010)
NDP16 wDatagramIndex[0] - 0x20 0x00 (0x0020)
NDP16 wDatagramLength[0] - 0x3C 0x00 (0x003C)
NDP16 wDatagramIndex[1] - 0x00 0x00 (0x0000)
NDP16 wDatagramLength[1] - 0x00 0x00 (0x0000)

```

IPv4 "ping" datagram (60 bytes):

```

o 0x45 0x00 0x00 0x46 0x00 0x00 0x00 0x00 0x00 0x01 0xBC 0xB4 0x7F 0x00 0x00 0x01
o 0x7F 0x00 0x00 0x02 0x00 0x00 0x00 0x00 0x00 0x00 0x00 0x01 0x61 0x62 0x63 0x64
o 0x65 0x66 0x67 0x68 0x69 0x6A 0x6B 0x6C 0x6D 0x6E 0x6F 0x70 0x71 0x72 0x73 0x74
o 0x75 0x76 0x77 0x61 0x62 0x63 0x64 0x65 0x66 0x67 0x68 0x69

```

NOTE:

The actual NTB formatting can be different since it depends on wNdpOutDivisor and wNdpOutPayloadRemainder parameters, which are function specific (these parameters are specified in NTB parameter structure; see section 6.2.1 of [INCM 1.0](#)). For more details see section 7 of [MBIM 1.0](#).

3. Receive an NTB with "looped" IPv4 "ping" packet and store the received NTB for further examination.

## 5.8 "Loopback NTB-32" Sequence

1. Make sure "[Connect](#)" sequence has been executed successfully (execute if necessary).
2. Send the following NTB (32-bit NTB, IPv4 "ping" packet):

```

o 0x6E 0x63 0x6D 0x68 0x10 0x00 0x## 0x## 0x90 0x00 0x00 0x00 0x70 0x00 0x00 0x00
o 0x00 0x00
o 0x45 0x00 0x00 0x46 0x00 0x00 0x00 0x00 0x00 0x01 0xBC 0xB4 0x7F 0x00 0x00 0x01
o 0x7F 0x00 0x00 0x02 0x00 0x00 0x00 0x00 0x00 0x00 0x01 0x61 0x62 0x63 0x64
o 0x65 0x66 0x67 0x68 0x69 0x6A 0x6B 0x6C 0x6D 0x6E 0x6F 0x70 0x71 0x72 0x73 0x74
o 0x75 0x76 0x77 0x61 0x62 0x63 0x64 0x65 0x66 0x67 0x68 0x69 0x00 0x00 0x00 0x00
o 0x00 0x00
o 0x69 0x70 0x73 0x30 0x20 0x00 0x00
o 0x20 0x00 0x00 0x00 0x3C 0x00 0x00

```

The above mentioned NTB packet contains following parameters:

NTB specific parameters and their values:

```

wNdpOutDivisor - 32
wNdpOutPayloadRemainder - 0

NTH32 dwSignature - 0x6E 0x63 0x6D 0x68 ("ncmh")
NTH32 wHeaderLength - 0x10 0x00 (0x000C)
NTH32 wSequence - 0x## 0x## (sequence number)
NTH32 dwBlockLength - 0x90 0x00 0x00 0x00 (0x00000090)
NTH32 dwNdpIndex - 0x70 0x00 0x00 0x00 (0x00000070)

NDP32 dwSignature - 0x69 0x70 0x73 0x30 ("ips0")
NDP32 wLength - 0x20 0x00 (0x0020)
NDP32 dwDatagramIndex[0] - 0x20 0x00 0x00 0x00 (0x00000020)
NDP32 dwDatagramLength[0] - 0x3C 0x00 0x00 0x00 (0x0000003C)
NDP32 dwDatagramIndex[1] - 0x00 0x00 0x00 0x00 (0x00000000)
NDP32 dwDatagramLength[1] - 0x00 0x00 0x00 0x00 (0x00000000)

```

IPv4 "ping" datagram (60 bytes):

```

o 0x45 0x00 0x00 0x46 0x00 0x00 0x00 0x00 0x00 0x01 0xBC 0xB4 0x7F 0x00 0x00 0x01
o 0x7F 0x00 0x00 0x02 0x00 0x00 0x00 0x00 0x00 0x00 0x01 0x61 0x62 0x63 0x64
o 0x65 0x66 0x67 0x68 0x69 0x6A 0x6B 0x6C 0x6D 0x6E 0x6F 0x70 0x71 0x72 0x73 0x74

```

- 0x75 0x76 0x77 0x61 0x62 0x63 0x64 0x65 0x66 0x67 0x68 0x69

NOTE:

The actual NTB formatting can be different since it depends on wNdpOutDivisor and wNdpOutPayloadRemainder parameters, which are function specific (these parameters are specified in NTB parameter structure; see section 6.2.1 of [INCM 1.0](#)). For more details see section 7 of [MBIM 1.0](#).

3. Receive an NTB with “looped” IPv4 “ping” packet and store the received NTB for further examination.

## 5.9 “MBIM\_CID\_DEVICE\_CAPS” Sequence

1. Send MBIM\_COMMAND\_MSG message using the following parameters:
  - MessageLength – set to 48d
  - TransactionId – set to previous TransactionId + 1
  - TotalFragments – set to 1d
  - CurrentFragment – set to 0d
  - DeviceServiceId – set to a289cc33-bcbb-8b4f-b6b0-133ec2aae6df (UUID\_BASIC\_CONNECT)
  - CID – set to 1d (MBIM\_CID\_DEVICE\_CAPS)
  - CommandType – set to 0d (Query)
  - InformationBufferLength – set to 0
  - InformationBuffer – NULL
2. Retrieve an MBIM\_COMMAND\_DONE response with TransactionId, DeviceServiceId and CID from step 1.
3. If the MBIM\_COMMAND\_DONE response is returned with Status == MBIM\_STATUS\_SUCCESS, store the content of the MBIM\_DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response for further analysis.

## 5.10 “MBIM\_CID\_DEVICE\_SERVICES” Sequence

1. Send MBIM\_COMMAND\_MSG message using the following parameters:
  - MessageType – set to 3d (MBIM\_COMMAND\_MSG)
  - MessageLength – set to 48d
  - TransactionId – set to old TransactionId+1
  - TotalFragments – set to 1d
  - CurrentFragment – set to 0d
  - DeviceServiceId – set to a289cc33-bcbb-8b4f-b6b0-133ec2aae6df (UUID\_BASIC\_CONNECT)
  - CID – set to MBIM\_CID\_DEVICE\_SERVICES
  - CommandType – set to 0d (Query)
  - InformationBufferLength – set to 0d
  - InformationBuffer – NULL
2. Retrieve an MBIM\_COMMAND\_DONE response with TransactionId, DeviceServiceId and CID from step 1.
3. If the MBIM\_COMMAND\_DONE response is returned with Status == MBIM\_STATUS\_SUCCESS, store the content of the MBIM\_DEVICE\_SERVICES\_INFO structure returned in the MBIM\_COMMAND\_DONE response for further analysis.

## 6 Tests

This section contains a detailed description of test procedures. A typical description includes:

- Short description of the test.
- Assertions used in the test.
- Preconditions that shall be satisfied before performing the test.
- Test steps (may include references to the standard test sequences described in section 5 of this document).

### NOTES:

1. To pass a test all its steps shall be passed successfully including successful execution of standard test sequences the test references to, unless otherwise specified.
2. Some of the tests defined in this specification when performed may change the internal state of the device function. Thus execution of a test may affect results of subsequent tests. It is assumed that proper re-initialization is performed between tests when necessary.

### 6.1 Descriptors Validation

The tests provided in this section validate descriptors for the combination NCM/MBIM and MBIM only functions.

#### DES\_01 Descriptors Validation for NCM/MBIM Functions

This test validates descriptors for the combination NCM/MBIM functions.

#### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 3.2.1#1: Functions that implement both NCM 1.0 and MBIM shall provide two alternate settings for the Communication Interface.

[\[MBIM 1.0\]](#) - 3.2.1#2: For alternate setting 0 of the Communication Interface of an NCM/MBIM function: interface, functional and endpoint descriptors shall be constructed according to the rules given in [USBNCM10].

[\[MBIM 1.0\]](#) - 3.2.1#3: For alternate setting 1 of the Communication Interface of an NCM/MBIM function: interface, functional and endpoint descriptors shall be constructed according to the rules given in [MBIM1.0] section 6.

[\[MBIM 1.0\]](#) - 3.2.1#4: When alternate setting 0 of the Communication Interface of an NCM/MBIM function is selected, the function shall operate according to the NCM rules given in [USBNCM10]. In particular, NTBs shall transport Ethernet frames, not IP datagrams.

[\[MBIM 1.0\]](#) - 3.2.1#5: When alternate setting 1 of the Communication Interface of an NCM/MBIM function is selected, the function shall operate according to the MBIM rules given in [USBMBIM10]. In particular, NTBs shall transport IP datagrams, not Ethernet frames

[\[MBIM 1.0\]](#) - 3.2.2.1#1: If an Interface Association Descriptor is used to form an NCM/MBIM function, its interface class, subclass, and protocol codes shall match those given in alternate setting 0 of the Communication Interface.

[\[MBIM 1.0\]](#) - 3.2.2.2#1: For an NCM/MBIM function the Communication Interface descriptor for alternate setting 0 must have bInterfaceSubClass == 0Dh and bInterfaceProtocol == XXh.

[\[MBIM 1.0\]](#) - 3.2.2.3#1: For an NCM/MBIM function, alternate setting 0 of the Communication Interface shall be followed by alternate setting 1.

[\[MBIM 1.0\]](#) - 3.2.2.3#2: For an NCM/MBIM function the Communication Interface descriptor for alternate setting 1 must have bInterfaceSubClass == 0Eh, and bInterfaceProtocol == 00h.

[\[MBIM 1.0\]](#) - 3.2.2.4#1: Functions that implement both NCM 1.0 and MBIM (an "NCM/MBIM function") shall provide three alternate settings for the Data Interface.

[\[MBIM 1.0\]](#) - 3.2.2.4#2: For an NCM/MBIM function the Data Interface descriptors for alternate settings 0 and 1 must have bInterfaceSubClass == 00h, and bInterfaceProtocol == 01h.

[\[MBIM 1.0\]](#) - 3.2.2.4#3: For an NCM/MBIM function the Data Interface descriptor for alternate setting 2 must have bInterfaceSubClass == 00h, and bInterfaceProtocol == 02h.

[\[MBIM 1.0\]](#) - 3.2.2.4#4: For an NCM/MBIM function there must be no endpoints for alternate setting 0 of the Data Interface. For each of the other two alternate settings (1 and 2) there must be exactly two endpoints: one Bulk IN and one Bulk OUT.

[\[MBIM 1.0\]](#) - 6.3#2: MBIM Communication Interface description shall include the following functional descriptors:

- CDC Header Functional Descriptor
- CDC Union Functional Descriptor
- MBIM Functional Descriptor

Refer to Table 6.2 of [USBMBIM10].

**[MBIM 1.0] - 6.3#3:** CDC Header Functional Descriptor shall appear before CDC Union Functional Descriptor and before MBIM Functional Descriptor.

**[MBIM 1.0] - 6.3#4:** CDC Union Functional Descriptor for an MBIM function shall group together the MBIM Communication Interface and the MBIM Data Interface.

**[MBIM 1.0] - 6.3#5:** The class-specific descriptors must be followed by an Interrupt IN endpoint descriptor.

**[MBIM 1.0] - 6.4#1:** Field wMaxControlMessage of MBIM Functional Descriptor must not be smaller than 64.

**[MBIM 1.0] - 6.4#2:** Field bNumberFilters of MBIM Functional Descriptor must not be smaller than 16.

**[MBIM 1.0] - 6.4#3:** Field bMaxFilterSize of MBIM Functional Descriptor must not exceed 192.

**[MBIM 1.0] - 6.4#4:** Field wMaxSegmentSize of MBIM Functional Descriptor must not be smaller than 2048.

**[MBIM 1.0] - 6.4#5:** Field bFunctionLength of MBIM Functional Descriptor must be 12 representing the size of the descriptor.

**[MBIM 1.0] - 6.4#6:** Field bcdMBIMVersion of MBIM Functional Descriptor must be 0x0100 in little endian format.

**[MBIM 1.0] - 6.4#7:** Field bmNetworkCapabilities of MBIM Functional Descriptor should have the following bits set to zero: D7, D6, D4, D2, D1 and D0.

**[MBIM 1.0] - 6.5#1:** If MBIM Extended Functional Descriptor is provided, it must appear after MBIM Functional Descriptor.

**[MBIM 1.0] - 6.5#2:** Field bFunctionLength of MBIM Extended Functional Descriptor must be 8 representing the size of the descriptor.

**[MBIM 1.0] - 6.5#3:** Field bcdMBIMEFDVersion of MBIM Extended Functional Descriptor must be 0x0100 in little endian format.

**[MBIM 1.0] - 6.5#4:** Field bMaxOutstandingCommandMessages of MBIM Extended Functional Descriptor shall be greater than 0.

### Precondition(s):

1. ["Get Descriptors"](#) sequence has been successfully executed for the selected configuration.

### Test step(s):

1. Search for the first interface (bDescriptorType == 04h) with the following two alternate settings:
  - o alternate setting 0:
    - bNumEndpoints == 1d
    - bInterfaceClass == 02h (Communications Interface)
    - bInterfaceSubClass == 0Dh (NCM)
  - o alternate setting 1:
    - bNumEndpoints == 1d
    - bInterfaceClass == 02h (Communications Interface)
    - bInterfaceSubClass == 0Eh (MBIM)
    - bInterfaceProtocol == 00h

The test stops and considered passed if the specified interface has not been found.

2. Verify that alternate setting 1 of the interface most recently found in step 1 or step 17 is specified after alternate setting 0.
3. Determine the interface bundle for alternate setting 0 of the interface most recently found in step 1 or step 17. The bundle includes the interface descriptor for alternate setting 0, any functional (bDescriptorType == 24h), endpoint (bDescriptorType == 05h) and endpoint companion (bDescriptorType == 30h) descriptors located after the specified interface descriptor, but before the next standard descriptor with bDescriptorType different from 25h, 05h and 30h.
4. Find the first functional descriptor (bDescriptorType == 24h) in the interface bundle most recently determined in step 3. Verify that
  - o it's a Header Functional Descriptor (bDescriptorSubtype == 00h) with
    - bFunctionLength == 5d
    - bcdCDC >= 0120h
  - o there is no other Header Functional Descriptor in the interface bundle most recently determined in step 3
5. Find a Union Function Descriptor (bDescriptorType == 24h, bDescriptorSubtype == 06h) in the interface bundle most recently determined in step 3. Verify that
  - o bFunctionLength == 5d
  - o bControllInterface == bInterfaceNumber of the interface most recently found in step 1 or step 17
  - o bSubordinateInterface0 == bInterfaceNumber of the interface with the following three alternate settings and endpoint configurations:
    - alternate setting 0:
      - bNumEndpoints == 0d
      - bInterfaceClass == 0Ah (Data Interface)
      - bInterfaceSubClass == 00h
      - bInterfaceProtocol == 01h
      - no endpoint descriptors shall follow the interface descriptor for this alternate setting
    - alternate setting 1:
      - bNumEndpoints == 2d
      - bInterfaceClass == 0Ah (Data Interface)
      - bInterfaceSubClass == 00h
      - bInterfaceProtocol == 01h



- there is no other Union Functional Descriptor in the interface bundle most recently determined in step 11 that is not a duplicate of the descriptor found in this step
14. Find an MBIM Functional Descriptor (bDescriptorType == 24h, bDescriptorSubtype == 1Bh) in the interface bundle most recently determined in step 11. Verify that
    - bFunctionLength == 12d
    - bcdMBIMVersion == 0100h
    - wMaxControlMessage >= 64d
    - bNumberFilters >= 16d
    - bMaxFilterSize <= 192d
    - wMaxSegmentSize >= 2048d
    - in bmNetworkCapabilities field the following bits shall be zero: D7, D6, D4, D2, D1, D0
    - there is no other MBIM Functional Descriptor in the interface bundle most recently determined in step 11 that is not a duplicate of the descriptor found in this step
  15. Search for the first instance of optional MBIM Extended Functional Descriptor (bDescriptorType == 24h, bDescriptorSubtype == 1Ch) in the interface bundle most recently determined in step 11. If the specified descriptor has been found, verify that
    - all the MBIM Functional Descriptors found in step 14 are located before the descriptor found in this step
    - bFunctionLength == 8d
    - bcdMBIMEFDVersion == 0100h
    - bMaxOutstandingCommandMessages > 0
    - there is no other MBIM Extended Functional Descriptor in the interface bundle most recently determined in step 11 that is not a duplicate of the descriptor found in this step
  16. Find the first endpoint descriptor (bDescriptorType == 05h) in the interface bundle most recently determined in step 11. Verify that
    - bLength == 7d
    - bEndpointAddress >= 80h (IN)
    - bmAttributes == 03h (Interrupt)
    - there is no other endpoint descriptor in the interface bundle most recently determined in step 11
    - all the functional descriptors in the interface bundle most recently determined in step 11 are located before the endpoint descriptor found in this step
  17. Search for all Interface Association Descriptors (bDescriptorType == 0Bh) for which bFirstInterface <= bControllInterface < bFirstInterface + bInterfaceCount or bFirstInterface <= bSubordinateInterface0 < bFirstInterface + bInterfaceCount, where bControllInterface and bSubordinateInterface0 are the fields of the Union Functional Descriptor most recently found in step 5. If at least one descriptor with the specified fields has been found, verify that
    - all found descriptors are located before the interfaces which numbers are specified in bControllInterface and bSubordinateInterface0
    - if there is more than one descriptor found, all the found descriptors duplicate each other
    - bFirstInterface == bControllInterface
    - bInterfaceCount == 2d
    - bSubordinateInterface0 == bControllInterface + 1
    - bFunctionClass == 02h (Communications Interface)
    - bFunctionSubClass == 0Dh (NCM)
    - bFunctionProtocol == bInterfaceProtocol specified in the alternate setting 0 of the interface most recently found in step 1 or step 17
  18. Search for the next interface (bDescriptorType == 04h) with the alternate settings specified in step 1. If the interface has been found, proceed to step 2.

The test passes if steps 2 – 17 when performed are passed successfully. Note: all the “finds” shall necessarily succeed.

## DES\_02 Descriptors Validation for MBIM Only Functions

This test validates descriptors for MBIM only functions.

### Assertion(s) used in the test:

**[MBIM 1.0] - 6.1#1:** If an Interface Association Descriptor (IAD) is provided for the MBIM function, the IAD and the mandatory CDC Union Functional Descriptor specified for the MBIM function shall group together the same interfaces.

**[MBIM 1.0] - 6.1#2:** If an Interface Association Descriptor (IAD) is provided for the MBIM only function, its interface class, subclass, and protocol codes shall match those given in the Communication Interface descriptor.

**[MBIM 1.0] - 6.3#1:** The descriptor for alternate setting 0 of the Communication Interface of an MBIM only function shall have bInterfaceClass == 02h, bInterfaceSubClass == 0Eh, and bInterfaceProtocol == 00h.

**[MBIM 1.0] - 6.3#2:** MBIM Communication Interface description shall include the following functional descriptors:

- CDC Header Functional Descriptor
- CDC Union Functional Descriptor
- MBIM Functional Descriptor

Refer to Table 6.2 of [USBMBIM10].

**[MBIM 1.0] - 6.3#3:** CDC Header Functional Descriptor shall appear before CDC Union Functional Descriptor and before MBIM Functional Descriptor.

**[MBIM 1.0] - 6.3#4:** CDC Union Functional Descriptor for an MBIM function shall group together the MBIM Communication Interface and the MBIM Data Interface.

**[MBIM 1.0] - 6.3#5:** The class-specific descriptors must be followed by an Interrupt IN endpoint descriptor.

**[MBIM 1.0] - 6.4#1:** Field wMaxControlMessage of MBIM Functional Descriptor must not be smaller than 64.

**[MBIM 1.0] - 6.4#2:** Field bNumberFilters of MBIM Functional Descriptor must not be smaller than 16.

**[MBIM 1.0] - 6.4#3:** Field bMaxFilterSize of MBIM Functional Descriptor must not exceed 192.

**[MBIM 1.0] - 6.4#4:** Field wMaxSegmentSize of MBIM Functional Descriptor must not be smaller than 2048.

**[MBIM 1.0] - 6.4#5:** Field bFunctionLength of MBIM Functional Descriptor must be 12 representing the size of the descriptor.

**[MBIM 1.0] - 6.4#6:** Field bcdMBIMVersion of MBIM Functional Descriptor must be 0x0100 in little endian format.

**[MBIM 1.0] - 6.4#7:** Field bmNetworkCapabilities of MBIM Functional Descriptor should have the following bits set to zero: D0, D1, D2, D4, D6 and D7.

**[MBIM 1.0] - 6.5#1:** If MBIM Extended Functional Descriptor is provided, it must appear after MBIM Functional Descriptor.

**[MBIM 1.0] - 6.5#2:** Field bFunctionLength of MBIM Extended Functional Descriptor must be 8 representing the size of the descriptor.

**[MBIM 1.0] - 6.5#3:** Field bcdMBIMEFDVersion of MBIM Extended Functional Descriptor must be 0x0100 in little endian format.

**[MBIM 1.0] - 6.5#4:** Field bMaxOutstandingCommandMessages of MBIM Extended Functional Descriptor shall be greater than 0.

**[MBIM 1.0] - 6.6#1:** The Data Interface for an MBIM only function shall provide two alternate settings.

**[MBIM 1.0] - 6.6#2:** The first alternate setting for the Data Interface of an MBIM only function (the default interface setting, alternate setting 0) shall include no endpoints.

**[MBIM 1.0] - 6.6#3:** The second alternate setting for the Data Interface of an MBIM only function (alternate setting 1) is used for normal operation, and shall include one Bulk IN endpoint and one Bulk OUT endpoint.

**[MBIM 1.0] - 6.6#4:** For an MBIM only function the Data Interface descriptors for alternate settings 0 and 1 must have bInterfaceSubClass == 00h, and bInterfaceProtocol == 02h. Refer to Table 6.4 of [USBMBIM10].

## Precondition(s):

1. [“Get Descriptors”](#) sequence has been executed for the selected configuration without faults.

## Test step(s):

1. Search for the first interface (bDescriptorType == 04h) with the following alternate setting:
  - o alternate setting 0:
    - bNumEndpoints == 1d
    - bInterfaceClass == 02h (Communications Interface)
    - bInterfaceSubClass == 0Eh (MBIM)
    - bInterfaceProtocol == 00h

The test stops and considered passed if the specified interface has not been found.

2. Determine the interface bundle for alternate setting 0 of the interface most recently found in step 1 or step 8. The bundle includes the interface descriptor for alternate setting 0, any functional (bDescriptorType == 24h), endpoint (bDescriptorType == 05h) and endpoint companion (bDescriptorType == 30h) descriptors located after the specified interface descriptor, but before the next standard descriptor with bDescriptorType different from 25h, 05h and 30h.
3. Find the first functional descriptor (bDescriptorType == 24h) in the interface bundle most recently determined in step 2. Verify that
  - o it's a Header Functional Descriptor (bDescriptorSubtype == 00h) with
    - bFunctionLength == 5d
    - bcdCDC >= 0120h
  - o there is no other Header Functional Descriptor in the interface bundle most recently determined in step 2
4. Find a Union Function Descriptor (bDescriptorType == 24h, bDescriptorSubtype == 06h) in the interface bundle most recently determined in step 2. Verify that
  - o bFunctionLength == 5d
  - o bControllInterface == bInterfaceNumber of the interface most recently found in step 1 or step 8
  - o bSubordinateInterface0 == bInterfaceNumber of the interface with the following two alternate settings and endpoint configurations:
    - alternate setting 0:
      - bNumEndpoints == 0d
      - bInterfaceClass == 0Ah (Data Interface)
      - bInterfaceSubClass == 00h
      - bInterfaceProtocol == 02h
      - no endpoint descriptors shall follow the interface descriptor for this alternate setting
    - alternate setting 1:
      - bNumEndpoints == 2d
      - bInterfaceClass == 0Ah (Data Interface)

- bInterfaceSubClass == 00h
  - bInterfaceProtocol == 02h
  - exactly 2 endpoint descriptors shall follow the interface descriptor for this alternate setting in arbitrary order:
    1. Bulk OUT endpoint:
      - bLength == 7d
      - bEndpointAddress < 80h (OUT)
      - bmAttributes == 02h (Bulk)
    2. Bulk IN endpoint:
      - bLength == 7d
      - bEndpointAddress >= 80h (IN)
      - bmAttributes == 02h (Bulk)
  - there is no other Union Functional Descriptor in the interface bundle most recently determined in step 2 that is not a duplicate of the descriptor found in this step
5. Find an MBIM Functional Descriptor (bDescriptorType == 24h, bDescriptorSubtype == 1Bh) in the interface bundle most recently determined in step 2. Verify that
    - bFunctionLength == 12d
    - bcdMBIMVersion == 0100h
    - wMaxControlMessage >= 64d
    - bNumberFilters >= 16d
    - bMaxFilterSize <= 192d
    - wMaxSegmentSize >= 2048d
    - in bmNetworkCapabilities field the following bits shall be zero: D7, D6, D4, D2, D1, D0
    - there is no other MBIM Functional Descriptor in the interface bundle most recently determined in step 2 that is not a duplicate of the descriptor found in this step
  6. Search for the first instance of optional MBIM Extended Functional Descriptor (bDescriptorType == 24h, bDescriptorSubtype == 1Ch) in the interface bundle most recently determined in step 2. If the specified descriptor has been found, verify that
    - all the MBIM Functional Descriptors found in step 5 are located before the descriptor found in this step
    - bFunctionLength == 8d
    - bcdMBIMEFDVersion == 0100h
    - bMaxOutstandingCommandMessages > 0
    - there is no other MBIM Extended Functional Descriptor in the interface bundle most recently determined in step 2 that is not a duplicate of the descriptor found in this step
  7. Find the first endpoint descriptor (bDescriptorType == 05h) in the interface bundle most recently determined in step 2. Verify that
    - bLength == 7d
    - bEndpointAddress >= 80h (IN)
    - bmAttributes == 03h (Interrupt)
    - there is no other endpoint descriptor in the interface bundle most recently determined in step 2
    - all the functional descriptors in the interface bundle most recently determined in step 2 are located before the endpoint descriptor found in this step
  8. Search for all Interface Association Descriptors (bDescriptorType == 0Bh) for which bFirstInterface <= bControlInterface < bFirstInterface + bInterfaceCount or bFirstInterface <= bSubordinateInterface0 < bFirstInterface + bInterfaceCount, where bControlInterface and bSubordinateInterface0 are the fields of the Union Functional Descriptor most recently found in step 4. If at least one descriptor with the specified fields has been found, verify that
    - all found descriptors are located before the interfaces which numbers are specified in bControlInterface and bSubordinateInterface0
    - if there is more than one descriptor found, all the found descriptors duplicate each other
    - bFirstInterface == bControlInterface or bFirstInterface == bSubordinateInterface0
    - bInterfaceCount == 2d
    - bSubordinateInterface0 == bControlInterface + 1 or bSubordinateInterface0 == bControlInterface - 1
    - bFunctionClass == 02h (Communications Interface)
    - bFunctionSubClass == 0Eh (MBIM)
    - bFunctionProtocol == 00h
  9. Search for the next interface (bDescriptorType == 04h) with the alternate settings specified in step 1. If the interface has been found, proceed to step 2.

The test passes if steps 2 – 8 when performed are passed successfully. Note: all the “finds” shall necessarily succeed.

## 6.2 Data Transfer Validation

This section contains a test that validates the specifics of data transfer between the host and the function.

### DTS\_01 Validation for Alternate Setting 1 of the Communication Interface

This test validates data transfer operation for alternate setting 1 of the Communication Interface.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 3.2.1#5: When alternate setting 1 is selected, the function shall operate according to the MBIM rules given in [USBMBIM10]. In particular, NTBs shall transport IP datagrams, not Ethernet frames.

**Precondition(s):**

1. "[MBIM Open – NTB-16](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-16](#)" sequence.
2. Verify that "looped" packet transports an IP datagram.

### 6.3 Validation of 16-Bit NCM Transfer Header (NTH16)

This section contains tests that validate 16-bit NCM Transfer Header.

**DTS\_02 Validation of dwSignature**

This test validates 16-bit NCM Transfer Header signature.

**Assertion(s) used in the test:**

[\[NCM 1.0\]](#) - 3.2.1#1: The first four bytes in NTH16 shall be 0x484D434E in little-endian format ("NCMH").

**Precondition(s):**

1. "[MBIM Open – NTB-16](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-16](#)" sequence.
2. Verify that dwSignature in the NCM Transfer Header of the received NTB (NTH16) is set to 484D434Eh ("NCMH").

**DTS\_03 Validation of wHeaderLength**

This test validates the value in wHeaderLength field of NTH16.

**Assertion(s) used in the test:**

[\[NCM 1.0\]](#) - 3.2.1#2: wHeaderLength value in NTH16 shall be 0x000C.

**Precondition(s):**

1. "[MBIM Open – NTB-16](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-16](#)" sequence.
2. Verify that wHeaderLength in the NCM Transfer Header of the received NTB (NTH16) is set to 000Ch.

**DTS\_04 Validation of wSequence After Function Reset**

This test verifies that function reset properly re-initializes the sequence number.

**Assertion(s) used in the test:**

[\[NCM 1.0\]](#) - 3.2.1#3: wSequence in NTH16 shall be set to zero by the function in the first NTB transferred after every "function reset" event.

**Precondition(s):**

1. "[MBIM Open – NTB-16](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-16](#)" sequence.
2. Execute "[MBIM Open – NTB-16](#)" sequence (includes "function reset").
3. Execute "[Loopback NTB-16](#)" sequence.
4. Verify that wSequence in the NCM Transfer Header of the received in step 3 NTB (NTH16) is set to 0.

**DTS\_05 Validation of wSequence Increment**

This test verifies that the expected increment happens for wSequence.

**Assertion(s) used in the test:**

[INCM 1.01](#) - 3.2.1#4: wSequence value in NTH16 shall be incremented for every NTB subsequent transfer.

**Precondition(s):**

1. "[MBIM Open – NTB-16](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-16](#)" sequence.
2. Repeat "[Loopback NTB-16](#)" sequence from step 2 with wSequence in the NCM Transfer Header of the NTB being sent (NTH16) incremented by 1.
3. Verify that wSequence value in the NCM Transfer Header of the received NTB (NTH16) is incremented for each NTB received.

**DTS\_06 Validation of wBlockLength**

This test validates the value in dwBlockLength field of NTH16.

**Assertion(s) used in the test:**

[INCM 1.01](#) - 3.2.1#5: NTB size (IN) shall not exceed dwNtbInMaxSize.

**Precondition(s):**

1. "[MBIM Open – NTB-16](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-16](#)" sequence.
2. Verify that wBlockLength value in the NCM Transfer Header of the received NTB (NTH16) is <= dwNtbInMaxSize value in the NTB Parameter Structure returned as a result of the GetNtbParameters() request in "[MBIM Open – NTB-16](#)" sequence.

**DTS\_07 Validation of wNdpIndex**

This test validates the value in wNdpIndex field of NTH16.

**Assertion(s) used in the test:**

[INCM 1.01](#) - 3.2.1#6: wNdpIndex value in NTH16 must be a multiple of 4, and must be >= 0x000C, in little endian.

**Precondition(s):**

1. "[MBIM Open – NTB-16](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-16](#)" sequence.
2. Verify that wNdpIndex value in the NCM Transfer Header of the received NTB (NTH16) is >= 000Ch and is a multiple of 4.

**6.4 Validation of 32-Bit NCM Transfer Header (NTH32)**

This section contains tests that validate 32-bit NCM Transfer Header.

**DTS\_08 Validation of dwSignature**

This test validates 32-bit NCM Transfer Header signature.

**Assertion(s) used in the test:**

[\[NCM 1.0\]](#) - 3.2.2#1: The first four bytes in NTH32 shall be 0x686D636E in little-endian format ("ncmh").

**Precondition(s):**

1. "[MBIM Open – NTB-32](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-32](#)" sequence.
2. Verify that dwSignature in the NCM Transfer Header of the received NTB (NTH32) is set to 686D636Eh ("ncmh").

**DTS\_09 Validation of wHeaderLength**

This test validates the value in wHeaderLength field of NTH32.

**Assertion(s) used in the test:**

[\[NCM 1.0\]](#) - 3.2.2#2: wHeaderLength value in NTH32 shall be 0x0010.

**Precondition(s):**

1. "[MBIM Open – NTB-32](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-32](#)" sequence.
2. Verify that wHeaderLength in the NCM Transfer Header of the received NTB (NTH32) is set to 0010h.

**DTS\_10 Validation of wSequence After Function Reset**

This test verifies that function reset properly re-initializes the sequence number.

**Assertion(s) used in the test:**

[\[NCM 1.0\]](#) - 3.2.2#3: wSequence in NTH32 shall be set to zero in the first NTB transferred after every "function reset" event.

**Precondition(s):**

1. "[MBIM Open – NTB-32](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-32](#)" sequence.
2. Execute "[MBIM Open – NTB-32](#)" sequence (includes "function reset").

3. Execute "[Loopback NTB-32](#)" sequence.
4. Verify that wSequence in the NCM Transfer Header of the received in step 3 NTB (NTH32) is set to 0.

### DTS\_11 Validation of wSequence Increment

This test verifies that the expected increment happens for wSequence.

#### Assertion(s) used in the test:

[\[NCM 1.0\]](#) - 3.2.2#4: wSequence value in NTH32 shall be incremented for every NTB subsequent transfer.

#### Precondition(s):

1. "[MBIM Open – NTB-32](#)" sequence has been executed successfully.

#### Test step(s):

1. Execute "[Loopback NTB-32](#)" sequence.
2. Repeat "[Loopback NTB-32](#)" sequence from step 2 with wSequence in the NCM Transfer Header of the NTB being sent (NTH32) incremented by 1.
3. Verify that wSequence value in the NCM Transfer Header of the received NTB (NTH32) is incremented for each NTB received.

### DTS\_12 Validation of dwBlockLength

This test validates the value in dwBlockLength field of NTH32.

#### Assertion(s) used in the test:

[\[NCM 1.0\]](#) - 3.2.2#5: NTB size (IN) shall not exceed dwNtbnMaxSize.

#### Precondition(s):

1. "[MBIM Open – NTB-32](#)" sequence has been executed.

#### Test step(s):

1. Execute "[Loopback NTB-32](#)" sequence.
2. Verify that dwBlockLength value in the NCM Transfer Header of the received NTB (NTH32) is <= dwNtbnMaxSize value in the NTB Parameter Structure returned as a result of the GetNtbParameters() request in "[MBIM Open – NTB-32](#)" sequence.

### DTS\_13 Validation of dwNdpIndex

This test validates the value in wNdpIndex field of NTH32.

#### Assertion(s) used in the test:

[\[NCM 1.0\]](#) - 3.2.2#6: dwNdpIndex value in NTH32 must be a multiple of 4, and must be >= 0x0010.

#### Precondition(s):

1. "[MBIM Open – NTB-32](#)" sequence has been executed successfully.

#### Test step(s):

1. Execute "[Loopback NTB-32](#)" sequence.
2. Verify that dwNdpIndex value in the NCM Transfer Header of the received NTB (NTH32) is >= 0010h and is a multiple of 4.

## 6.5 Validation of 16-Bit NCM Datagram Pointer (NDP16)

This section contains tests that validate 16-bit NCM Datagram Pointer.

## DTS\_14 Validation of dwSignature for IP Stream

This test validates 16-bit NCM Datagram Pointer signature for IP stream.

### Assertion(s) used in the test:

**[MBIM1.0] - 7#1:** To distinguish among the data streams, the last character of the dwSignature in the NDP16 header shall be coded with the index SessionId specified by the host in the MBIM\_CID\_CONNECT. The first three symbols are encoded as ASCII characters in little-endian form plus a last byte in HEX (binary) format: "IPS"<SessionId>.

### Precondition(s):

1. ["MBIM Open – NTB-16"](#) sequence has been executed successfully.

### Test step(s):

1. Execute ["Loopback NTB-16"](#) sequence.
2. Verify that dwSignature in the NCM Datagram Pointer of the received NTB (NDP16) is set to 30535049h ("IPS0").

## DTS\_15 Validation of wLength

This test validates the value in wLength field of NDP16.

### Assertion(s) used in the test:

**[NCM 1.0] - 3.3.1#1:** wLength value in the NDP16 must be a multiple of 4, and must be at least 16d (0x0010).

### Precondition(s):

1. ["MBIM Open – NTB-16"](#) sequence has been executed successfully.

### Test step(s):

1. Execute ["Loopback NTB-16"](#) sequence.
2. Verify that wLength value in the NCM Datagram Pointer of the received NTB (NDP16) is  $\geq$  0010h and is a multiple of 4.

## DTS\_16 Validation of wDatagramIndex[0]

This test validates the value in wDatagramIndex[0] field of NDP16.

### Assertion(s) used in the test:

**[NCM 1.0] - 3.3.1#2:** wDatagramIndex[0] value in NDP16 must be  $\geq$  0x000C (because it must point past the NTH16).

### Precondition(s):

1. ["MBIM Open – NTB-16"](#) sequence has been executed successfully.

### Test step(s):

1. Execute ["Loopback NTB-16"](#) sequence.
2. Verify that wDatagramIndex[0] value in the NCM Datagram Pointer of the received NTB (NDP16) is  $\geq$  000Ch.

## DTS\_17 Validation of wDatagramLength[0]

This test validates the value in wDatagramLength[0] field of NDP16.

### Assertion(s) used in the test:

**[NCM 1.0] - 3.3.1#3:** wDatagramLength[0] value in NDP16 must be  $\geq$  20d if datagram payload is IPv4 and  $\geq$  40d if datagram payload is IPv6.

**Precondition(s):**

1. "[MBIM Open – NTB-16](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-16](#)" sequence.
2. Verify that wDatagramLength[0] value in the NCM Datagram Pointer of the received NTB (NDP16) is  $\geq 20$ .

**DTS\_18 Validation of the Last wDatagramIndex**

This test validates the value in wDatagramIndex[(wLength-8)/4 - 1] field of NDP16.

**Assertion(s) used in the test:**

[\[NCM 1.0\]](#) - 3.3.1#4: wDatagramIndex[(wLength-8)/4 - 1] value in NDP16 must be zero.

**Precondition(s):**

1. "[MBIM Open – NTB-16](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-16](#)" sequence.
2. Verify that wDatagramIndex[(wLength-8)/4 - 1] in the NCM Datagram Pointer of the received NTB (NDP16) is set to 0.

**DTS\_19 Validation of the Last wDatagramLength**

This test validates the value in wDatagramLength[(wLength-8)/4 - 1] field of NDP16.

**Assertion(s) used in the test:**

[\[NCM 1.0\]](#) - 3.3.1#5: wDatagramLength [(wLength-8)/4 - 1] value in NDP16 must be zero.

**Precondition(s):**

1. "[MBIM Open – NTB-16](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-16](#)" sequence.
2. Verify that wDatagramLength [(wLength-8)/4 - 1] in the NCM Datagram Pointer of the received NTB (NDP16) is set to 0.

**6.6 Validation of 32-Bit NCM Datagram Pointer (NDP32)**

This section contains test cases that validate 32-bit NCM Datagram Pointer.

**DTS\_20 Validation of dwSignature for IP Stream**

This test validates 32-bit NCM Datagram Pointer signature for IP stream.

**Assertion(s) used in the test:**

[\[MBIM1.0\]](#) - 7#3: To distinguish among the data streams, the last character of the dwSignature in the NDP32 header shall be coded with the SessionId specified by the host in the MBIM\_CID\_CONNECT. The first three symbols are encoded as ASCII characters in little-endian form plus a last byte in HEX (binary) format: "ips"<SessionId>.

**Precondition(s):**

1. "[MBIM Open – NTB-32](#)" sequence has been executed.

**Test step(s):**

1. Execute "[Loopback NTB-32](#)" sequence.
2. Verify that dwSignature in the NCM Datagram Pointer of the received NTB (NDP32) is set to 30737069h ("ips0").

**DTS\_21 Validation of wLength**

This test validates the value in wLength field of NDP32.

**Assertion(s) used in the test:**

[\[NCM 1.0\]](#) - 3.3.2 #1: wLength value in NDP32 value must be a multiple of 8, and must be at least 32d (0x0020).

**Precondition(s):**

1. "[MBIM Open – NTB-32](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-32](#)" sequence.
2. Verify that wLength value in the NCM Datagram Pointer of the received NTB (NDP32) is  $\geq$  0020h and is a multiple of 8.

**DTS\_22 Validation of dwDatagramIndex[0]**

This test validates the value in dwDatagramIndex[0] field of NDP32.

**Assertion(s) used in the test:**

[\[NCM 1.0\]](#) - 3.3.2#2: dwDatagramIndex[0] value in NDP32 must be  $\geq$  0x0010 (because it must point past the NTH32).

**Precondition(s):**

1. "[MBIM Open – NTB-32](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-32](#)" sequence.
2. Verify that dwDatagramIndex[0] value in the NCM Datagram Pointer of the received NTB (NDP32) is  $\geq$  0010h.

**DTS\_23 Validation of dwDatagramLength[0]**

This test validates the value in dwDatagramLength[0] field of NDP32.

**Assertion(s) used in the test:**

[\[NCM 1.0\]](#) - 3.3.2#3: dwDatagramLength[0] value in NDP32 must be  $\geq$  20d if datagram payload is IPv4 and  $\geq$  40d if datagram payload is IPv6.

**Precondition(s):**

1. "[MBIM Open – NTB-32](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-32](#)" sequence.
2. Verify that dwDatagramLength[0] value in the NCM Datagram Pointer of the received NTB (NDP32) is  $\geq$  20d.

**DTS\_24 Validation of the Last dwDatagramIndex**

This test validates the value in dwDatagramIndex[(wLength-8)/8 - 1] field of NDP32.

**Assertion(s) used in the test:**

[\[NCM 1.0\]](#) - 3.3.2#4: dwDatagramIndex[(wLength-8)/8 - 1] value in NDP32 must be zero.

**Precondition(s):**

1. "[MBIM Open – NTB-32](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-32](#)" sequence.
2. Verify that dwDatagramIndex[(wLength-8)/8 - 1] in the NCM Datagram Pointer of the received NTB (NDP32) is set to 0.

**DTS\_25 Validation of the Last dwDatagramLength**

This test validates the value in dwDatagramLength[(wLength-8)/8 - 1] field of NDP32.

**Assertion(s) used in the test:**

[\[NCM 1.0\]](#) - 3.3.2#5: dwDatagramLength[(wLength-8)/8 - 1] value in NDP32 must be zero.

**Precondition(s):**

1. "[MBIM Open – NTB-32](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-32](#)" sequence.
2. Verify that dwDatagramLength[(wLength-8)/8 - 1] in the NCM Datagram Pointer of the received NTB (NDP32) is set to 0.

**6.7 Validation of Datagram Payload Alignment**

This section contains a test that validates datagram payload alignment.

**DTS\_26 Validation of Datagram Payload Alignment Based on wNdpInDivisor and wNdpInPayloadRemainder**

This test verifies that the datagram payload is located at a proper offset.

**Assertion(s) used in the test:**

[\[NCM 1.0\]](#) - 3.3.4: The agent formatting a given NTB aligns the payload of each datagram by inserting padding, such that the offset of each datagram payload satisfies the constraint:  
Offset % wNdpInDivisor == wNdpInPayloadRemainder (for IN datagrams).

**Precondition(s):**

1. "[MBIM Open – NTB-16](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Loopback NTB-16](#)" sequence.
2. Verify that the offset of the datagram payload in the received NTB satisfies the alignment requirement specified in the assertion.

**6.8 Validation of Null NDP Handling Specifics**

This section contains a test that validates the specifics of Null NDPs handling.

## DTS\_27 Validation of NDP Handling

This test verifies that function ignores all NDP entries following the first Null NDP entry.

### Assertion(s) used in the test:

[\[NCM 1.0\]](#) - 3.7 #1: The first Null Datagram pointer entry in the NTB shall be interpreted as meaning that all following NCM Datagram Pointer Entries in the NDP are to be ignored.

### Precondition(s):

1. "[MBIM Open – NTB-16](#)" sequence has been executed successfully.

### Test step(s):

1. Execute "[Loopback NTB-16](#)" sequence putting 4 entries in the NDP instead of 2, where the second two entries duplicate the first two.
2. Verify that only one "looped" IPv4 "ping" packet has been received (i.e., the second two entries have been ignored by the function).

## 6.9 Control Requests Validation

This section contains a test that validates class-specific requests supported by MBIM interface class. These requests are sent over the default control pipe when the device is in USB configured state.

### CREQ\_01 Mandatory Control Requests Support Validation

This test verifies that MBIM function supports the mandatory control requests.

### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 8.1#1: The following requests must be supported by MBIM function:

- SendEncapsulatedCommand()
- GetEncapsulatedResponse()
- GetNtbParameters()
- SetNtbInputSize()
- GetNtbInputSize()
- ResetFunction()

### Precondition(s):

1. "[Get Descriptors](#)" sequence has been executed for the selected configuration.

### Test step(s):

1. Determine the number of Communication Interface and the number of Data Interface for the desired MBIM (or NCM/MBIM) function. Find and parse MBIM Functional Descriptor.
2. Select alternate setting 0 for the interface determined in step 2 for the Data Interface using SetInterface() request.
3. For NCM/MBIM function select alternate setting 1 of the interface determined in step 2 for the Communication Interface using SetInterface() request. Ignore this step if the function is MBIM only.
4. Send ResetFunction() request using the following parameter:
  - o wIndex – set to the number of Communication Interface determined in step 2
 Verify that the request has succeeded (e.g., STALL is not returned, etc.).
5. Send GetNtbParameters() request using the following parameters:
  - o wIndex – set to the number of Communication Interface determined in step 2
  - o wLength – set to 28d
 Verify that the request has succeeded (e.g., STALL is not returned, etc.).
6. Send SetNtbInputSize() request using the following parameters:
  - o wIndex – set to the number of Communication Interface determined in step 2
  - o wLength – set to 4d
  - o dwNtbInMaxSize field in the Data part – set to the value from dwNtbInMaxSize field of the NTB Parameter Structure returned as a result of the GetNtbParameters() request in step 5
 Verify that the request has succeeded (e.g., STALL is not returned, etc.).
7. Send GetNtbInputSize() request using the following parameters:

- wIndex – set to the number of Communication Interface determined in step 2
  - wLength – set to 4d
- Verify that the request has succeeded (e.g., STALL is not returned, etc.).
8. Send SendEncapsulatedCommand() request to send MBIM\_OPEN\_MSG using the following parameters:
    - MessageLength – set to 16d
    - TransactionId – set 1d
    - MaxControlTransfer – set to wMaxControlMessage from MBIM Functional Descriptor parsed in step 1
 Verify that the request has succeeded (e.g., STALL is not returned, etc.).
  9. Receive RESPONSE\_AVAILABLE notification on the Interrupt IN pipe (the corresponding endpoint is specified in the descriptor bundle of the currently selected alternate setting for the Communication Interface).
  10. Retrieve the response by making GetEncapsulatedResponse() request with the following parameter:
    - wLength – set to wMaxControlMessage from MBIM Functional Descriptor parsed in step 1
 Verify that the request has succeeded (e.g., STALL is not returned, etc.).

## 6.10 Validation of MBIM\_OPEN\_MSG

This section contains tests that validate the specifics of MBIM\_OPEN\_MSG request and the function's response.

### CM\_01 Validation of Function's Response

This test verifies that MBIM\_OPEN\_DONE message is issued by the function in response to MBIM\_OPEN\_MSG message and checks TransactionId and Status fields.

#### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 9.4.1#2: The function shall respond to the MBIM\_OPEN\_MSG message with an MBIM\_OPEN\_DONE message in which the TransactionId must match the TransactionId in the MBIM\_OPEN\_MSG.

[\[MBIM 1.0\]](#) - 9.4.1#2: The Status field of MBIM\_OPEN\_DONE shall be set to MBIM\_STATUS\_SUCCESS if the function initialized successfully.

#### Test step(s):

1. Execute "[MBIM Open](#)" sequence.

### CM\_02 Validation of MessageLength in MBIM\_MESSAGE\_HEADER

This test validates MessageLength field in MBIM\_MESSAGE\_HEADER.

#### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 9.1#2: MessageLength in MBIM\_MESSAGE\_HEADER should be >= 0x0C

#### Precondition(s):

1. "[MBIM Open](#)" sequence has been executed successfully.

#### Test step(s):

1. Verify that MessageLength value in the MBIM\_MESSAGE\_HEADER structure returned in the MBIM\_OPEN\_DONE response to the MBIM\_OPEN\_MSG request is >= 0x0C.

### CM\_03 Validation of Function's Behavior in Case of an Unsynchronized Request

This test validates function's behavior in case of an unsynchronized open operation.

#### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 9.3.1#1: In case MBIM\_OPEN\_MSG message is sent to a function that is already opened, the function shall interpret this as that the host and the function are out of synchronization. The function shall then perform the actions dictated by the MBIM\_CLOSE\_MSG before it performs the actions dictated by this command. The function shall not send the MBIM\_CLOSE\_DONE when the transition to the Closed state has been completed. Only the MBIM\_OPEN\_DONE message is sent upon successful completion of this message.

**Precondition(s):**

1. "["MBIM Open"](#) sequence has been executed successfully.

**Test step(s):**

1. Execute "["MBIM Open"](#) sequence.
2. Verify that an MBIM\_CLOSE\_DONE message has not been received.

## 6.11 Validation of MBIM\_COMMAND\_MSG

This section contains tests that validate the specifics of MBIM\_COMMAND\_MSG request and the function's response.

### CM\_04 Validation of Function's Response

This test verifies that an MBIM\_COMMAND\_DONE message is issued by the function in response to an MBIM\_COMMAND\_MSG message and checks TransactionId field.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 9.4.3: The function shall respond to the MBIM\_COMMAND\_MSG message with an MBIM\_COMMAND\_DONE message in which the TransactionId must match the TransactionId in the MBIM\_COMMAND\_MSG.

**Precondition(s):**

1. "["MBIM Open"](#) sequence has been executed successfully.

**Test step(s):**

1. Execute "["MBIM\\_CID\\_DEVICE\\_CAPS"](#) sequence.

### CM\_05 Validation of Function's Behavior in Case of Multiple Response Transactions

This test verifies that the function uses separate transactions to deliver control message responses.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 8.1.2#2: The function must use a separate GET\_ENCAPSULATED\_RESPONSE transfer for each control message it has to send to the host.

**Precondition(s):**

1. "["MBIM Open"](#) sequence has been executed successfully.

**Test step(s):**

1. Execute the first step of "["MBIM\\_CID\\_DEVICE\\_CAPS"](#) sequence.
2. Execute the first step of "["MBIM\\_CID\\_DEVICE\\_SERVICES"](#) sequence.
3. Retrieve two MBIM\_COMMAND\_DONE with TransactionId, DeviceSeviceld and CID used in test sequencies in step 1 and step 2 in any order.

### CM\_06 Validation of Status in Case of Success

This test verifies that the function returns MBIM\_STATUS\_SUCCESS in Status field of MBIM\_COMMAND\_DONE response in case of a successfully executed command.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 9.4.5#1: If the CID is successful, the function shall set the Status field to MBIM\_STATUS\_SUCCESS in the MBIM\_COMMAND\_DONE.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[MBIM\\_CID\\_DEVICE\\_CAPS](#)" sequence.

**CM\_07 Validation of Status in Case of an Unsupported CID**

This test verifies that the function returns MBIM\_STATUS\_NO\_DEVICE\_SUPPORT in Status field of the MBIM\_COMMAND\_DONE response when a command is not supported by the function.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 9.4.5#2: If the function does not implement the CID, then the function shall fail the request with MBIM\_STATUS\_NO\_DEVICE\_SUPPORT.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.

**Test step(s):**

1. Send MBIM\_COMMAND\_MSG message using the following parameters:
  - o MessageLength – set to 48d
  - o TransactionId – set to old TransactionId+1
  - o TotalFragments – set to 1d
  - o CurrentFragment – set to 1d
  - o DeviceServiceId – set to a289cc33-bcbb-8b4f-b6b0-133ec2aae6df (UUID\_BASIC\_CONNECT)
  - o CID – set to 255d (unsupported CID)
  - o CommandType – set to 0d
  - o InformationBufferLength – set to 0d
  - o InformationBuffer – NULL
2. Retrieve an MBIM\_COMMAND\_DONE response with TransactionId, DeviceServiceId and CID from step 1.
3. Verify that the MBIM\_COMMAND\_DONE response has been returned with Status == MBIM\_STATUS\_NO\_DEVICE\_SUPPORT.

**CM\_08 Validation of InformationBuffer in Case of a Failure**

This test verifies that in case of a command failure the buffer in the MBIM\_COMMAND\_DONE response is empty.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 9.4.5#3: If the Status field returned to the host is not equal to MBIM\_STATUS\_SUCCESS, the function must set the InformationBufferLength to 0, indicating an empty InformationBuffer except the following CIDs:

MBIM\_CID\_REGISTER\_STATE  
MBIM\_CID\_PACKET\_SERVICE  
MBIM\_CID\_CONNECT  
MBIM\_CID\_SERVICE\_ACTIVATION.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.

**Test step(s):**

1. Send MBIM\_COMMAND\_MSG message using the following parameters:
  - o MessageType – set to 3d (MBIM\_COMMAND\_MSG)
  - o MessageLength – set to 52d
  - o TransactionId – set to old TransactionId+1
  - o TotalFragments – set to 1d
  - o CurrentFragment – set to 1d
  - o DeviceServiceId – set to a289cc33-bcbb-8b4f-b6b0-133ec2aae6df (UUID\_BASIC\_CONNECT)

- CID – set to MBIM\_CID\_RADIO\_STATE
  - CommandType – set to 1d (Set)
  - InformationBufferLength – set to 4d
  - InformationBuffer
    - RadioState – set to 2d (Unsupported Value)
2. Retrieve an MBIM\_COMMAND\_DONE response with TransactionId, DeviceSeviceld and CID from step 1.
  3. Verify that Status is not equal to MBIM\_STATUS\_SUCCESS and InformationBufferLength field in the retrieved MBIM\_COMMAND\_DONE response is set to 0.

## 6.12 Validation of MBIM\_INDICATE\_STATUS\_MSG

This section contains a test that validates the specifics of unsolicited notifications.

### CM\_09 Validation of TransactionId for Notifications

This test verifies that TransactionId for notifications is zero.

#### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 9.1#1: For notifications, the TransactionId must be set to 0 by the function.

#### Precondition(s):

1. "[MBIM Open](#)" sequence has been executed successfully.

#### Test step(s):

1. Execute "[Connect](#)" sequence.
2. Receive an MBIM\_INDICATE\_STATUS\_MSG notification and verify that its TransactionId is set to 0.

## 6.13 Validation of MBIM\_CLOSE\_MSG

This section contains a test that validates the specifics of MBIM\_CLOSE\_MSG request and the function's response.

### CM\_10 Validation of Function's Response

This test verifies that an MBIM\_CLOSE\_DONE message is issued by the function in response to an MBIM\_CLOSE\_MSG message and checks TransactionId and Status fields.

#### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 9.4.2#1: The function shall respond to the MBIM\_CLOSE\_MSG message with an MBIM\_CLOSE\_DONE message in which the TransactionId must match the TransactionId in the MBIM\_CLOSE\_MSG.

[\[MBIM 1.0\]](#) - 9.4.2#2: The Status field of MBIM\_CLOSE\_DONE shall always be set to MBIM\_STATUS\_SUCCESS.

#### Precondition(s):

1. "[MBIM Open](#)" sequence has been executed successfully.

#### Test step(s):

1. Execute "[MBIM Close](#)" sequence.

### CM\_11 Validation of Function's Behavior While Completing MBIM\_CLOSE\_MSG Request

This test verifies that the function ignores control messages while completing an MBIM\_CLOSE\_MSG request.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 9.3.2#1: Between the host's sending MBIM\_CLOSE\_MSG message and the function's completing the request (acknowledged with MBIM\_CLOSE\_DONE), the function shall ignore any MBIM control messages it receives on the control plane or the data on the bulk pipes.

**Precondition(s):**

1. ["MBIM Open – NTB-16"](#) sequence has been executed successfully.
2. ["Connect"](#) sequence has been executed successfully .

**Test step(s):**

1. Execute the first step of ["MBIM Close"](#) sequence.
2. Execute the first step of ["MBIM\\_CID\\_DEVICE\\_CAPS"](#) sequence.
3. Execute step 2 of ["Loopback NTB-16"](#) sequence.
4. Verify that an MBIM\_COMMAND\_DONE response with TransactionId, DeviceSeviceId and CID from step 2 has not been received.
5. Verify that NTB with "looped" IPv4 "ping" packet has not been received.

**CM\_12 Validation of Function's Behavior after Completion of MBIM\_CLOSE\_MSG Request**

This test verifies that the function does not send any control message after completion of MBIM\_CLOSE\_MSG request.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 9.3.2#2: The function shall not send any MBIM control messages on the control plane or data on the bulk pipes after completing MBIM\_CLOSE\_MSG message (acknowledging it with the MBIM\_CLOSE\_DONE message) with one exception and that is MBIM\_ERROR\_NOT\_OPENED.

**Precondition(s):**

1. ["MBIM Open"](#) sequence has been executed successfully.

**Test step(s):**

1. Execute ["MBIM Close"](#) sequence.
2. Execute the first step of ["Connect"](#) sequence.
3. Verify that an MBIM\_COMMAND\_DONE response with TransactionId, DeviceSeviceId and CID from step 2 has not been received.
4. Verify that no data has been sent on the bulk pipe after ["MBIM Close"](#) sequence had been executed.
5. Verify that an MBIM\_FUNCTION\_ERROR\_MSG message has been received with ErrorStatusCode == MBIM\_ERROR\_NOT\_OPENED.

**CM\_13 Validation of Active Context Termination on Function's Closing**

This test verifies that no any active context exists after closing of the function.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 9.3.2#3: On MBIM\_CLOSE\_MSG, any active context between the function and the host shall be terminated.

**Precondition(s):**

1. ["MBIM Open"](#) sequence has been executed successfully.
2. ["Connect"](#) sequence has been executed successfully.
3. ["MBIM Close"](#) sequence has been executed successfully (after ["Connect"](#) sequence).
4. ["MBIM Open"](#) sequence has been executed successfully again.

**Test step(s):**

1. Send MBIM\_COMMAND\_MSG message using the following parameters:
  - o MessageLength – set to 84d
  - o TransactionId – set to previous TransactionId + 1

- TotalFragments – set to 1d (assuming that the MaxControlTransfer value used in MBIM\_OPEN\_MSG message is larger or equal to 84d; if it is smaller than 84d, refer to section 9.5 of [\[MBIM 1.0\]](#) for information on how to fragment the message)
- CurrentFragment – set to 0d
- DeviceServiceId – set to a289cc33-bcbb-8b4f-b6b0-133ec2aae6df (UUID\_BASIC\_CONNECT)
- CID – set to 12d (MBIM\_CID\_CONNECT)
- CommandType – set to 0d (Query)
- InformationBufferLength – set to 36d
- InformationBuffer (contains MBIM\_CONNECT\_INFO structure)
  - SessionId – set to 0d
  - All other fields are ignored by function
- 2. Retrieve an MBIM\_COMMAND\_DONE response with TransactionId, DeviceServiceId and CID from step 2.
- 3. Verify that the MBIM\_COMMAND\_DONE response has been returned with Status == MBIM\_STATUS\_CONTEXT\_NOT\_ACTIVATED.

## 6.14 Validation of MBIM\_FUNCTION\_ERROR\_MSG

This section contains a test that validates the content of MBIM\_FUNCTION\_ERROR\_MSG message.

### CM\_14 Validation of Not Sending Data Payload in Error Messages

This test verifies that an MBIM\_FUNCTION\_ERROR\_MSG does contain a data payload.

#### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 9.3.4#2: An MBIM\_FUNCTION\_ERROR\_MSG shall not make use of a DataBuffer, so it cannot send any data payload.

#### Precondition(s):

1. "[MBIM Open](#)" sequence has been executed successfully.
2. "[MBIM Close](#)" sequence has been executed successfully (the function is now in the closed state).

#### Test step(s):

1. Execute "[Connect](#)" sequence.
2. Verify that an MBIM\_FUNCTION\_ERROR\_MSG message has been received with MessageLength in the MBIM\_MESSAGE\_HEADER structure set to 16d (i.e., no data payload).

## 6.15 Validation of Message Fragmentation

This section contains tests that validate the specifics of message fragmentation.

### CM\_15 Validation of Message Fragmentation Ability

This test verifies that the function follows the rules of control message fragmentation.

#### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 8.1.2#3: The function must send a RESPONSE\_AVAILABLE notification for each available fragment of ENCAPSULATED\_RESPONSE to be read from the default pipe.

[\[MBIM 1.0\]](#) - 9.2: Function should fragment responses based on MaxControlTransfer value from MBIM\_OPEN\_MSG.

#### Precondition(s):

1. "[MBIM Open](#)" sequence has been executed successfully with MaxControlTransfer set to 64d.

#### Test step(s):

1. Execute "[MBIM\\_CID\\_DEVICE\\_CAPS](#)" sequence using wLength set to 64d for all GetEncapsulatedResponse() requests made in response to separate RESPONSE\_AVAILABLE notifications.
2. Verify that
  - An MBIM\_COMMAND\_DONE response has been returned with Status == MBIM\_STATUS\_SUCCESS

- number of fragments of the returned MBIM\_COMMAND\_DONE response equals to the value in TotalFragments field of the fragment header structure located in each of these fragments
- MessageLength == 64d for all the fragments of the returned MBIM\_COMMAND\_DONE response, except for the very last one, which must be smaller or equal to 64d
- value in InformationBufferLength field of the first fragment of the returned MBIM\_COMMAND\_DONE response equals to the total size of information buffers in all the fragments of the returned MBIM\_COMMAND\_DONE response

## CM\_16 Validation of Fragmented Message Transmission in Case of Multiple Fragmented Messages

This test verifies that fragmented messages sent from the function are not intermixed. Note that this test is only applicable for devices that support multiple outstanding commands.

### Assertion(s) used in the test:

[\[MBIM 1.0\] - 9.5#1](#): Function should transmit fragmented message to host without intermixing fragments from other messages.

### Precondition(s):

1. The test is only valid for functions that support multiple outstanding commands.
2. ["MBIM Open"](#) sequence has been executed successfully with MaxControlTransfer set to 64d.

### Test step(s):

1. Execute step 1 of ["MBIM\\_CID\\_DEVICE\\_CAPS"](#) sequence.
2. Execute step 1 of ["MBIM\\_CID\\_DEVICE\\_SERVICES"](#) sequence.
3. Retrieve two fragmented MBIM\_COMMAND\_DONE responses for the two query messages sent in steps 1 and 2 (expect TransactionId, DeviceSeviceId and CID equal to those from MBIM\_COMMAND\_MSG messages sent in steps 1 and 2).
4. Verify that the fragmented responses are sent without intermixing the fragments.

## 6.16 Validation of Variable Length Encoding

This section contains a test that validates function's ability to properly use variable length encoding.

## CM\_17 Validation of Strings Representation

This test verifies that the function follows the requirements for strings representation.

### Assertion(s) used in the test:

[\[MBIM 1.0\] - 10.3#1](#): As per MBIM recommendations, representation of string(s) should meet the following constraints:

- String offsets should be linear increasing.
- String lengths must be a multiple of 2 (Unicode).
- Offset of 0 means null string and must have a size of 0.
- Strings must be non-overlapping.
- String offset/size must be inside command/response buffer.

### Precondition(s):

1. ["MBIM Open"](#) sequence has been executed successfully.

### Test step(s):

1. Execute ["MBIM\\_CID\\_DEVICE\\_CAPS"](#) sequence.
2. Verify the following in the DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response to the MBIM\_CID\_DEVICE\_CAPS command:
  - CustomdataClassOffset, CustomdataClassSize, CustomdataClass in DataBuffer satisfy the constraints specified in the above assertion
  - DeviceidOffset, DeviceidSize, Deviceid in DataBuffer satisfy the constraints specified in the above assertion
  - FirmwareInfoOffset, FirmwareInfoSize, FirmwareInfo in DataBuffer satisfy the constraints specified in the above assertion
  - HardwareInfoOffset, HardwareInfoSize, HardwareInfo in DataBuffer satisfy the constraints specified in the above assertion

## 6.17 Validation of Error Handling

This section contains tests that validate the specifics of MBIM error handling.

### 6.17.1 Validation of Variable-Length Encoding Error Handling

This section contains a test that validates function's ability to properly handle the errors of the variable-length encoding.

#### ERR\_01 Validation of Function's Response to Messages with Variable-Length Encoding Errors

This test verifies that incoming messages are rejected when variable-length encoding rules are not followed.

##### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 10.3#2: The function shall reject incoming messages that don't follow the rules for variable-length encoding by setting MBIM\_STATUS\_INVALID\_PARAMETERS as the status code in the MBIM\_COMMAND\_DONE message.

##### Precondition(s):

1. "[MBIM Open](#)" sequence has been executed successfully.

##### Test step(s):

1. Execute the first step of "[Connect](#)" sequence with AccessStringOffset field of MBIM\_SET\_CONNECT structure set to 0.
2. Retrieve an MBIM\_COMMAND\_DONE response with TransactionId, DeviceSeviceId and CID from step 1.
3. Verify that the MBIM\_COMMAND\_DONE response has been returned with Status == MBIM\_STATUS\_INVALID\_PARAMETERS.

### 6.17.2 Validation of MBIM\_ERROR\_FRAGMENT\_OUT\_OF\_SEQUENCE

This section contains tests that validate error messaging in case of out of sequence fragments.

#### ERR\_02 Validation of Issuing the Error Message

This test verifies that an error message with status code MBIM\_ERROR\_FRAGMENT\_OUT\_OF\_SEQUENCE is issued when fragments received in a wrong order.

##### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 9.3.4#3: MBIM\_ERROR\_FRAGMENT\_OUT\_OF\_SEQUENCE shall be sent by the function if it detects a fragmented message out of sequence.

##### Precondition(s):

1. "[MBIM Open](#)" sequence has been executed successfully with MaxControlTransfer set to 64d.

##### Test step(s):

1. Execute step 1 of "[Connect](#)" sequence deliberately sending the second fragment of the MBIM\_COMMAND\_MSG message before the first fragment.
2. Verify that an MBIM\_FUNCTION\_ERROR\_MSG message has been received with ErrorStatusCode == MBIM\_ERROR\_FRAGMENT\_OUT\_OF\_SEQUENCE.

#### ERR\_03 Validation of Error Message TransactionId

This test verifies that TransactionId of an error message with status code MBIM\_ERROR\_FRAGMENT\_OUT\_OF\_SEQUENCE is the same as TransactionId of the incorrectly fragmented message.

**Assertion(s) used in the test:**

**[MBIM 1.0] - 9.3.4.2#2:** For MBIM\_ERROR\_FRAGMENT\_OUT\_OF\_SEQUENCE, the TransactionId of the responding message must match the TransactionId in the faulty fragmented sequence.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully with MaxControlTransfer set to 64d.

**Test step(s):**

1. Execute step 1 of "[Connect](#)" sequence deliberately sending the second fragment of the MBIM\_COMMAND\_MSG message before the first fragment.
2. Verify that an MBIM\_FUNCTION\_ERROR\_MSG message has been received with ErrorCode == MBIM\_ERROR\_FRAGMENT\_OUT\_OF\_SEQUENCE and TransactionId equal to the TransactionId of the fragmented MBIM\_COMMAND\_MSG message from step 1.

**ERR\_04 Validation of Discarding Packets in Case of an Error**

This test verifies that in case of an error message with status code MBIM\_ERROR\_FRAGMENT\_OUT\_OF\_SEQUENCE all packets of the message caused the error are discarded by the function.

**Assertion(s) used in the test:**

**[MBIM 1.0] - 9.3.4.2#3:** In case of an out of a sequence error, the function shall discard all the packets with the same TransactionId as the faulty message sequence.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully with MaxControlTransfer set to 64d.

**Test step(s):**

1. Execute step 1 of "[Connect](#)" sequence deliberately sending the second fragment of the MBIM\_COMMAND\_MSG message before the first fragment.
2. Verify that an MBIM\_COMMAND\_DONE response with TransactionId of the fragmented MBIM\_COMMAND\_MSG message from step 1 has not been received (i.e., the MBIM\_COMMAND\_MSG message has been discarded by the function).

**ERR\_05 Validation of Issuing a New Error Message**

This test verifies that another error message with status code MBIM\_ERROR\_FRAGMENT\_OUT\_OF\_SEQUENCE is issued when another message with out-of-order fragmentation with the same TransactionId is received.

**Assertion(s) used in the test:**

**[MBIM 1.0] - 9.3.4.2#4:** If the function gets one more message that is out of order for the same TransactionId, it shall send a new error message with the same TransactionId once more.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully with MaxControlTransfer set to 64d.

**Test step(s):**

1. Execute step 1 of "[Connect](#)" sequence deliberately sending the second fragment of the MBIM\_COMMAND\_MSG message two times in a row without sending the first fragment.
2. Verify that an MBIM\_FUNCTION\_ERROR\_MSG message with ErrorCode == MBIM\_ERROR\_FRAGMENT\_OUT\_OF\_SEQUENCE and TransactionId equal to the TransactionId of the fragmented MBIM\_COMMAND\_MSG message from step 1 has been received 2 times.

### 6.17.3 Validation of MBIM\_ERROR\_LENGTH\_MISMATCH

This section contains tests that validate error messaging in case of a mismatch between the specified buffer length and the actual buffer length calculated based on the total message length.

#### ERR\_06 Validation of Issuing the Error Message

This test verifies that an error message with status code MBIM\_ERROR\_LENGTH\_MISMATCH is issued when InformationBufferLength value is inconsistent with MessageLength value.

##### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 9.3.4.3#1: MBIM\_ERROR\_LENGTH\_MISMATCH shall be sent by the function if the InformationBufferLength with required padding does not match the total of MessageLength minus headers.

##### Precondition(s):

1. "[MBIM Open](#)" sequence has been executed successfully.

##### Test step(s):

1. Execute step 1 of "[Connect](#)" sequence with InformationBufferLength in the MBIM\_COMMAND\_MSG message set to 80d (not equal to the actual InformationBuffer length).
2. Verify that an MBIM\_FUNCTION\_ERROR\_MSG message has been received with ErrorStatusCode == MBIM\_ERROR\_LENGTH\_MISMATCH.

#### ERR\_07 Validation of Error Message TransactionId

This test verifies that TransactionId of an error message with status code MBIM\_ERROR\_LENGTH\_MISMATCH is the same as TransactionId of the faulty message.

##### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 9.3.4.3#2: For MBIM\_ERROR\_LENGTH\_MISMATCH the TransactionId of the responding message must match the TransactionId of the faulty message.

##### Precondition(s):

1. "[MBIM Open](#)" sequence has been executed successfully.

##### Test step(s):

1. Execute step 1 of "[Connect](#)" sequence with InformationBufferLength in the MBIM\_COMMAND\_MSG message set to 80d (not equal to the actual InformationBuffer length).
2. Verify that an MBIM\_FUNCTION\_ERROR\_MSG message has been received with ErrorStatusCode == MBIM\_ERROR\_LENGTH\_MISMATCH and TransactionId equal to the TransactionId of the faulty MBIM\_COMMAND\_MSG message from step 1.

#### ERR\_08 Validation of Discarding Packets in Case of an Error

This test verifies that in case of an error message with status code MBIM\_ERROR\_LENGTH\_MISMATCH all packets of the message caused the error are discarded by the function.

##### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 9.3.4.3#a3: In case of an MBIM\_ERROR\_LENGTH\_MISMATCH all packets with the same TransactionId shall be discarded by the function.

##### Precondition(s):

1. "[MBIM Open](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute step 1 of "[Connect](#)" sequence with InformationBufferLength in the MBIM\_COMMAND\_MSG message set to 80d (not equal to the actual InformationBuffer length).
2. Verify that an MBIM\_COMMAND\_DONE response with TransactionId of the faulty MBIM\_COMMAND\_MSG message from step 1 has not been received (i.e., the MBIM\_COMMAND\_MSG message has been discarded by the function).

**6.17.4 Validation of MBIM\_ERROR\_DUPLICATED\_TID**

This section contains tests that validate error messaging in case of a duplicate TransactionId.

**ERR\_09 Validation of Issuing the Error Message**

This test verifies that an error message with status code MBIM\_ERROR\_DUPLICATED\_TID is issued when the function receives a message with TransactionId already used in another message.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 9.3.4#5: MBIM\_ERROR\_DUPLICATED\_TID shall be sent by the function if two MBIM commands are detected with the same TID.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[MBIM\\_CID\\_DEVICE\\_CAPS](#)" sequence.
2. Execute the first step of "[MBIM\\_CID\\_DEVICE\\_CAPS](#)" sequence using TransactionId of the MBIM\_COMMAND\_MSG message from step 1.
3. Verify that an MBIM\_FUNCTION\_ERROR\_MSG message has been received with ErrorStatusCode == MBIM\_ERROR\_DUPLICATED\_TID.

**ERR\_10 Validation of Error Message TransactionId**

This test verifies that TransactionId of an error message with status code MBIM\_ERROR\_DUPLICATED\_TID is the same as TransactionId of the duplicate message.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 9.3.4#1: For MBIM\_ERROR\_DUPLICATED\_TID, the TransactionId of the responding message shall match the TransactionId of the duplicate message.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[MBIM\\_CID\\_DEVICE\\_CAPS](#)" sequence.
2. Execute the first step of "[MBIM\\_CID\\_DEVICE\\_CAPS](#)" sequence using TransactionId of the MBIM\_COMMAND\_MSG message from step 1.
3. Verify that an MBIM\_FUNCTION\_ERROR\_MSG message has been received with ErrorStatusCode == MBIM\_ERROR\_DUPLICATED\_TID and TransactionId equal to the TransactionId of the MBIM\_COMMAND\_MSG messages from steps 1 and 2.

**ERR\_11 Validation of Discarding Packets in Case of an Error**

This test verifies that in case of an error message with status code MBIM\_ERROR\_DUPLICATED\_TID all packets of the message caused the error are discarded by the function.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 9.3.4.4#2: In case of an MBIM\_ERROR\_DUPLICATED\_TID error, the function shall discard the newly arrived message.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[MBIM\\_CID\\_DEVICE\\_CAPS](#)" sequence.
2. Execute the first step of "[MBIM\\_CID\\_DEVICE\\_CAPS](#)" sequence using TransactionId of the MBIM\_COMMAND\_MSG from step 1.
3. Verify that an MBIM\_COMMAND\_DONE response with TransactionId of the MBIM\_COMMAND\_MSG messages from steps 1 and 2 has not been received for the second message (i.e., the second MBIM\_COMMAND\_MSG message has been discarded by the function).

### 6.17.5 Validation of MBIM\_ERROR\_NOT\_OPENED

This section contains tests that validate error messaging in case a command is sent to a closed function.

#### ERR\_12 Validation of Issuing the Error Message in Response to a Control Command

This test verifies that an error message with status code MBIM\_ERROR\_NOT\_OPENED is issued in response to any command received by the function in closed state.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 9.3.4#6: The function shall respond with MBIM\_ERROR\_NOT\_OPENED error code if it receives any MBIM commands prior to an open command or after a close command.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.
2. "[MBIM Close](#)" sequence has been executed successfully (properly initialized function is now in the closed state).

**Test step(s):**

1. Execute "[Connect](#)" sequence.
2. Verify that an MBIM\_FUNCTION\_ERROR\_MSG message has been received with ErrorStatusCode == MBIM\_ERROR\_NOT\_OPENED.

#### ERR\_13 Validation of Issuing the Error Message in Response to Data Traffic

This test verifies that an error message with status code MBIM\_ERROR\_NOT\_OPENED is issued in response to any data traffic received by the function in closed state.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 9.3.4.5#1 If the host sends data traffic to the function while the function is in a "closed" state, the function shall respond with a MBIM\_FUNCTION\_ERROR\_MSG status code MBIM\_ERROR\_NOT\_OPENED.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.
2. "[MBIM Close](#)" sequence has been executed successfully (properly initialized function is now in the closed state).

**Test step(s):**

1. Execute step 2 of the "[Loopback NTB-16](#)" sequence.
2. Verify that an MBIM\_FUNCTION\_ERROR\_MSG message has been received with ErrorStatusCode == MBIM\_ERROR\_NOT\_OPENED.

### 6.17.6 Validation of MBIM\_ERROR\_MAX\_TRANSFER

This section contains a test that validates error messaging in case the function does not support the maximum control transfer size proposed by the host.

#### ERR\_14 Validation of Issuing the Error Message

This test verifies that an error message with status code MBIM\_ERROR\_MAX\_TRANSFER is issued when the function receives "open" request containing an unsupported value in MaxControlTransfer field.

#### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 9.3.4#8: MBIM\_ERROR\_MAX\_TRANSFER shall be sent if the function does not support the maximum control transfer the host supports as specified in the MBIM\_OPEN\_MSG command.

#### Test step(s):

1. Execute "[MBIM Open](#)" sequence not performing the final 2 steps with MaxControlTransfer set to wMaxControlMessage + 1 (wMaxControlMessage value is taken from the MBIM Functional Descriptor).
2. Verify that an MBIM\_FUNCTION\_ERROR\_MSG message has been received with ErrorStatusCode == MBIM\_ERROR\_MAX\_TRANSFER.

### 6.17.7 Validation of MBIM\_ERROR\_TIMEOUT\_FRAGMENT

This section contains tests that validate error messaging in case when a message is fragmented and the time between the fragments exceeds the predefined maximum.

#### ERR\_15 Validation of Proper Handling of the Maximum Limit

This test verifies that an error message with status code MBIM\_ERROR\_TIMEOUT\_FRAGMENT is issued when the delay between message fragments is too big.

#### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 9.3.4.1#1: A function that receives fragmented messages shall send an MBIM\_ERROR\_TIMEOUT\_FRAGMENT if the time between the fragments exceeds 1250 ms.

#### Precondition(s):

1. "[MBIM Open](#)" sequence has been executed successfully with MaxControlTransfer set to 64d.

#### Test step(s):

1. Execute step 1 of "[Connect](#)" sequence delaying transmission of the second fragment of the MBIM\_COMMAND\_MSG message for more than 1250 ms.
2. Verify that an MBIM\_FUNCTION\_ERROR\_MSG message has been received with ErrorStatusCode == MBIM\_ERROR\_TIMEOUT\_FRAGMENT.

#### ERR\_16 Validation of Proper Handling of the Minimum Limit

This test verifies that an error message with status code MBIM\_ERROR\_TIMEOUT\_FRAGMENT is not issued when the delay between message fragments is smaller than the predefined value.

#### Assertion(s) used in the test:

[\[MBIM 1.0\]](#) - 9.3.4.1#2: A function that receives fragmented messages shall not send an MBIM\_ERROR\_TIMEOUT\_FRAGMENT if the time between the fragments is less than 750 ms.

#### Precondition(s):

1. "[MBIM Open](#)" sequence has been executed successfully with MaxControlTransfer set to 64d.

**Test step(s):**

1. Execute step 1 of "[Connect](#)" sequence with a delay between fragments of the MBIM\_COMMAND\_MSG message smaller than 750 ms.
2. Verify that an MBIM\_FUNCTION\_ERROR\_MSG message with ErrorCode == MBIM\_ERROR\_TIMEOUT\_FRAGMENT has not been received.

**ERR\_17 Validation of Error Message TransactionId**

This test verifies that TransactionId of an error message with status code MBIM\_ERROR\_TIMEOUT\_FRAGMENT is the same as TransactionId of the fragmented message that has the timing issue.

**Assertion(s) used in the test:**

[\[MBIM 1.0\] - 9.3.4.1#3](#): For MBIM\_ERROR\_TIMEOUT\_FRAGMENT, the TransactionId of the responding message must match the TransactionId in the fragmented message that has the timing issue.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully with MaxControlTransfer set to 64d.

**Test step(s):**

1. Execute step 1 of "[Connect](#)" sequence delaying transmission of the second fragment of the MBIM\_COMMAND\_MSG message for more than 1250 ms.
2. Verify that an MBIM\_FUNCTION\_ERROR\_MSG message has been received with ErrorCode == MBIM\_ERROR\_TIMEOUT\_FRAGMENT and TransactionId equal to the TransactionId of the fragmented MBIM\_COMMAND\_MSG message from step 1.

**ERR\_18 Validation of Discarding Packets in Case of an Error**

This test verifies that in case of an error message with status code MBIM\_ERROR\_TIMEOUT\_FRAGMENT all packets of the message caused the error are discarded by the function.

**Assertion(s) used in the test:**

[\[MBIM 1.0\] - 9.3.4.1#4](#): In case of a timeout error, the function shall discard all the packets with the same TransactionId as the fragmented message that has the timing issue.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully with MaxControlTransfer set to 64d.

**Test step(s):**

1. Execute step 1 of "[Connect](#)" sequence delaying transmission of the second fragment of the MBIM\_COMMAND\_MSG message for more than 1250 ms.
2. Verify that an MBIM\_COMMAND\_DONE response with TransactionId of the fragmented MBIM\_COMMAND\_MSG message from step 1 has not been received (i.e., the MBIM\_COMMAND\_MSG message has been discarded by the function).

**6.17.8 Validation of MBIM\_ERROR\_CANCEL**

This section contains a test that validates function's behavior upon receiving a request from the host to cancel a pending transaction.

**ERR\_19 Validation of Discarding Packets in Case of an Error**

This test verifies that in case of a message cancellation request received from the host all packets of the message specified in the request are discarded by the function.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 9.3.4.6#2: In case of a cancel error, the function shall discard all the packets with the same TransactionId as indicated in the MBIM\_ERROR\_CANCEL message.

**Precondition(s):**

1. ["MBIM Open"](#) sequence has been executed successfully with MaxControlTransfer set to 64d.

**Test step(s):**

1. Execute step 1 of ["Connect"](#) sequence sending only the first fragment of MBIM\_COMMAND\_MSG message.
2. Send MBIM\_HOST\_ERROR\_MSG message using the following parameters:
  - o MessageLength – set to 16d
  - o TransactionId – set to TransactionId of MBIM\_COMMAND\_MSG message from step 1.
  - o ErrorCode – set to 7d (MBIM\_ERROR\_CANCEL).
3. Continue executing the first step of ["Connect"](#) sequence sending the second fragment of the MBIM\_COMMAND\_MSG message.
4. Verify that an MBIM\_COMMAND\_DONE response with TransactionId of the fragmented MBIM\_COMMAND\_MSG message has not been received (i.e., the MBIM\_COMMAND\_MSG message has been discarded by the function).

## 6.18 Validation of Mandatory Control Commands

This section contains test cases that validate the specifics of the mandatory control commands.

### 6.18.1 Validation of MBIM\_CID\_DEVICE\_CAPS

This section contains tests that validate the specifics of CID MBIM\_CID\_DEVICE\_CAPS command.

#### CID\_01 Validation of IP Flags for Functions That Support CDMA

This test verifies that a function that supports CDMA specifies at least one of the following IP flags: MBIMCtrlCapsCdmaMobileIP, MBIMCtrlCapsCdmaSimpleIP.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 10.5.1.3#1: Functions that support CDMA must specify MBIMCtrlCapsCdmaMobileIP, or MBIMCtrlCapsCdmaSimpleIP, or both flags to inform the host about the type of IP that the function supports.

**Precondition(s):**

1. ["MBIM Open"](#) sequence has been executed successfully.
2. ["MBIM\\_CID\\_DEVICE\\_CAPS"](#) sequence has been executed successfully and MBIMCellularClassCdma bit is set in CellularClass field of the MBIM\_DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response to the MBIM\_CID\_DEVICE\_CAPS command.

**Test step(s):**

1. Verify that ControlCaps field of the MBIM\_DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response to the MBIM\_CID\_DEVICE\_CAPS command specifies at least one of the following flags: MBIMCtrlCapsCdmaMobileIP, MBIMCtrlCapsCdmaSimpleIP.

#### CID\_02 Validation of Registration Method for Single-Mode CDMA Functions

This test verifies that a single-mode CDMA function does not support manual registration.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 10.5.1.3#2: Functions for single-mode CDMA-based devices must not specify MBIMCtrlCapsRegManual flag.

**Precondition(s):**

1. ["MBIM Open"](#) sequence has been executed successfully.

2. "[MBIM\\_CID\\_DEVICE\\_CAPS](#)" sequence has been executed successfully and MBIMCellularClassCdma bit is set and MBIMCellularClassGsm is not set in CellularClass field of the MBIM\_DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response to the MBIM\_CID\_DEVICE\_CAPS command.

**Test step(s):**

1. Verify that MBIMCtrlCapsRegManual bit is not set in ControlCaps field of the MBIM\_DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response to the MBIM\_CID\_DEVICE\_CAPS command.

**CID\_03 Validation of Deviceld for Functions That Support GSM**

This test verifies that Deviceld in case of a function that supports GSM is in IMEI format.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 10.5.1.5#1: For GSM-based and multi-mode functions, the string Deviceld of MBIM\_DEVICE\_CAPS\_INFO must conform to the International Mobile Equipment Identity (IMEI) format (up to 15 digits).

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.
2. "[MBIM\\_CID\\_DEVICE\\_CAPS](#)" sequence has been executed successfully and MBIMCellularClassGsm bit is set in CellularClass field of the MBIM\_DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response to MBIM\_CID\_DEVICE\_CAPS command.

**Test step(s):**

1. Verify that Deviceld string located in the DataBuffer of the MBIM\_DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response to the MBIM\_CID\_DEVICE\_CAPS command is represented in IMEI format.

**CID\_04 Validation of Deviceld Field for Single-Mode CDMA Functions**

This test verifies that Deviceld in case of a single-mode CDMA function is either in ESN format or in MEID format.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 10.5.1.5#2: For single-mode CDMA-based functions, the string Deviceld of MBIM\_DEVICE\_CAPS\_INFO must conform to either the Electronic Serial Number (ESN, 8 or 11 digits) or the Mobile Equipment Identifier (MEID, 14 or 18 digits) formats.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.
2. "[MBIM\\_CID\\_DEVICE\\_CAPS](#)" sequence has been executed successfully and MBIMCellularClassCdma bit is set and MBIMCellularClassGsm is not set in CellularClass field of the MBIM\_DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response to MBIM\_CID\_DEVICE\_CAPS command.

**Test step(s):**

1. Verify that Deviceld string located in the DataBuffer of the MBIM\_DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response to the MBIM\_CID\_DEVICE\_CAPS command is represented in either ESN or MEID formats.

**CID\_05 Validation of CustomDataClassOffset for Functions That Do Not Support Custom Data Classes**

This test verifies that CustomDataClassOffset is not specified when the function does not support a custom data class.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 10.5.1.5#3: If DataClass bitmask in MBIM\_DEVICE\_CAPS\_INFO structure does not contain 80000000h, then CustomDataClassOffset field is reserved and shall be encoded as zero by the function.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.
2. "[MBIM\\_CID\\_DEVICE\\_CAPS](#)" sequence has been executed successfully and DataClass bitmask in the MBIM\_DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response to the MBIM\_DEVICE\_CAPS command does not contain 80000000h.

**Test step(s):**

1. Verify that CustomDataClassOffset in the MBIM\_DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response to the MBIM\_DEVICE\_CAPS command is set to zero.

**CID\_06 Validation of CustomDataClass String for Functions That Support Custom Data Classes**

This test verifies that CustomDataClass string is specified when the function supports a custom data class.

**Assertion(s) used in the test:**

[\[MBIM 1.0\] - 10.5.1.5#4](#): If DataClass bitmask in MBIM\_DEVICE\_CAPS\_INFO structure contains 80000000h, then CustomDataClassOffset and CustomDataClassSize shall not be zero.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.
2. "[MBIM\\_CID\\_DEVICE\\_CAPS](#)" sequence has been executed successfully and DataClass bitmask in the MBIM\_DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response to the MBIM\_CID\_DEVICE\_CAPS command contains 80000000h.

**Test step(s):**

1. Verify that CustomDataClassOffset and CustomDataClassSize fields of the MBIM\_DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response to MBIM\_CID\_DEVICE\_CAPS command are nonzero.

**CID\_07 Validation of MaxSessions Field in DEVICE\_CAPS\_INFO structure**

This test validates the value in MaxSessions field of DEVICE\_CAPS\_INFO structure.

**Assertion(s) used in the test:**

[\[MBIM 1.0\] - 10.5.1.5#5](#): DEVICE\_CAPS\_INFO's MaxSessions field value should be  $\leq 256d$ .

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.
2. "[MBIM\\_CID\\_DEVICE\\_CAPS](#)" sequence has been executed successfully.

**Test step(s):**

1. Verify that MaxSessions value in MBIM\_DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response to the MBIM\_CID\_DEVICE\_CAPS command is  $\leq 256d$ .

**6.18.2 Validation of MBIM\_CID\_RADIO\_STATE**

This section contains test case that validates specifics of MBIM\_CID\_RADIO\_STATE command.

**CID\_08 Validation of HwRadioState for Devices without a Hardware Radio Switch**

This test verifies that HwRadioState field in MBIM\_RADIO\_STATE\_INFO structure contains MBIMRadioOn if the device does not have a hardware radio switch.

**Assertion(s) used in the test:**

[\[MBIM 1.0\] - 10.5.3.6#1](#): If the device does not specify MBIMCtrlCapsHwRadioSwitch the function must return MBIMRadioOn in HwRadioState field.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.
2. "[MBIM\\_CID\\_DEVICE\\_CAPS](#)" sequence has been executed successfully and MBIMCtrlCapsHwRadioSwitch bit is not set in ControlCaps field of the MBIM\_DEVICE\_CAPS\_INFO structure returned in the MBIM\_COMMAND\_DONE response.

**Test step(s):**

1. Send MBIM\_COMMAND\_MSG message using the following parameters:
  - o MessageType – set to 3d (MBIM\_COMMAND\_MSG)
  - o MessageLength – set to this Encapsulated Command message length
  - o TransactionId – set to old TransactionId + 1
  - o TotalFragments – set to 1d
  - o CurrentFragment – set to 0d
  - o DeviceServiceId – set to a289cc33-bcbb-8b4f-b6b0-133ec2aae6df (UUID\_BASIC\_CONNECT)
  - o CID – set to 3d (MBIM\_CID\_RADIO\_STATE)
  - o CommandType – set to 0d (Query)
  - o InformationBufferLength – set to 0
  - o InformationBuffer – NULL
2. Retrieve an MBIM\_COMMAND\_DONE response with TransactionId, DeviceServiceId and CID from step 1.
3. Verify that HwRadioState field in the MBIM\_RADIO\_STATE\_INFO structure returned in the MBIM\_COMMAND\_DONE response contains 1 (MBIMRadioOn).

### 6.18.3 Validation of MBIM\_CID\_CONNECT

This section contains tests that validate the specifics of MBIM\_CID\_CONNECT command.

#### CID\_09 Validation of Properly Setting IP Type

This test verifies that the function only activates the context which activation is requested by the host.

**Assertion(s) used in the test:**

[\[MBIM 1.0\] - 10.5.12.1#2](#): On MBIM\_CID\_CONNECT set request the Host may specify an IP type to activate. If a value other than MBIMContextIPTypeDefault is specified, the function must only activate that context.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Connect](#)" sequence.
2. Send an NTB with a dummy IPv6 packet.
3. Verify that no "looped" packet has been received (i.e., the function ignores the ipv6 packet).

#### CID\_10 Validation of MBIM\_COMMAND\_DONE for Set Request

This test verifies that an MBIM\_COMMAND\_DONE response is received in response to a set request.

**Assertion(s) used in the test:**

[\[MBIM 1.0\] - 10.5.12.1#3](#): Functions must only send MBIM\_COMMAND\_DONE for MBIM\_CID\_CONNECT's Set request after they have successfully activated or deactivated an IP data stream session, or detected an error.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Connect](#)" sequence.

**CID\_11 Validation of Using MBIM\_SET\_CONNECT' SessionId**

This test validates SessionId field in the MBIM\_CONNECT\_INFO structure returned in the response to MBIM\_CID\_CONNECT command.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 10.5.12.1#4: Function must use the value in MBIM\_SET\_CONNECT' SessionId member when completing set requests.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute "[Connect](#)" sequence with SessionId set to 1d.
2. Verify that SessionId in the MBIM\_CONNECT\_INFO structure returned in the MBIM\_COMMAND\_DONE response is set to 1d.

**CID\_12 Validation of the Response to Deactivation Request in Case of a Non-Active Context**

This test validates the function's behavior when the host submits a request to deactivate a non-active context.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 10.5.12.1#6: If the function receives a request to de-activate a context that is not currently activated, it shall respond with MBIM\_STATUS\_CONTEXT\_NOT\_ACTIVATED.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.

**Test step(s):**

1. Execute steps 1 and 2 of "[Connect](#)" sequence with ActivationCommand set to 0d (MBIMActivationCommandDeactivate) and SessionId set to the ID of a session for which the context is not activated.
2. Verify that the MBIM\_COMMAND\_DONE response has been returned with Status == MBIM\_STATUS\_CONTEXT\_NOT\_ACTIVATED.

**6.18.4 Validation of MBIM\_CID\_IP\_CONFIGURATION**

This section contains a test case that validates the specifics of MBIM\_CID\_IP\_CONFIGURATION command.

**CID\_13 Validation of the Response in Case of a Non-Activated Context**

This test validates the device's response in case when the context MBIM\_CID\_IP\_CONFIGURATION refers to has not been activated.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 10.5.20.7: If the function receives MBIM\_CID\_IP\_CONFIGURATION query with SessionId specifying a context that is not currently activated, it shall respond with MBIM\_STATUS\_CONTEXT\_NOT\_ACTIVATED.

**Precondition(s):**

1. "[MBIM Open](#)" sequence has been executed successfully.

**Test step(s):**

1. Send MBIM\_COMMAND\_MSG message using the following parameters:
  - o MessageType – set to 3d (MBIM\_COMMAND\_MSG)
  - o MessageLength – set to size of this Encapsulated command
  - o TransactionId – set to old TransactionId + 1
  - o TotalFragments – set to 1d
  - o CurrentFragment – set to 0d
  - o DeviceServiceId – set to a289cc33-bcbb-8b4f-b6b0-133ec2aae6df (UUID\_BASIC\_CONNECT)
  - o CID – set to MBIM\_CID\_IP\_CONFIGURATION
  - o CommandType – set to 0d (Query)
  - o InformationBufferLength – set to 60d
  - o InformationBuffer (contains MBIM\_IP\_CONFIGURATION\_INFO structure)
    - SessionId – set to the ID of a session for which the context is not activated.
2. Retrieve an MBIM\_COMMAND\_DONE response with TransactionId, DeviceServiceId and CID from step 1.
3. Verify that the MBIM\_COMMAND\_DONE response has been returned with Status == MBIM\_STATUS\_CONTEXT\_NOT\_ACTIVATED.

**6.18.5 Validation of MBIM\_CID\_DEVICE\_SERVICES**

This section contains a test case that validates the specifics of MBIM\_CID\_DEVICE\_SERVICES command.

**CID\_14 Validation of CidCount**

This test verifies the presence of a proper number of entries in the list of CIDs.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 10.5.29.1#2: There must be CidCount number of entries in the list of CIDs located in MBIM\_DEVICE\_SERVICE\_ELEMENT structure.

**Precondition(s):**

1. ["MBIM Open"](#) sequence has been executed successfully.
2. ["MBIM\\_CID\\_DEVICE\\_SERVICES"](#) sequence has been executed successfully.

**Test step(s):**

1. Verify that CidCount number of entries is present in the list of CIDs provided in the data buffer of each MBIM\_DEVICE\_SERVICE\_ELEMENT structure returned in the MBIM\_COMMAND\_DONE response to the MBIM\_CID\_DEVICE\_SERVICES command as a part of MBIM\_DEVICE\_SERVICES\_INFO structure.

**6.18.6 Validation of Mandatory CIDs**

This section contains a test case that validates the support of the mandatory CIDs.

**CID\_15 Validation of Mandatory Functionality**

This test validates the support of the mandatory CIDs.

**Assertion(s) used in the test:**

[\[MBIM 1.0\]](#) - 11.2: The mandatory to implement functionality comprises the following CIDs from the Basic Connectivity Service:

- MBIM\_CID\_DEVICE\_CAPS
- MBIM\_CID\_SUBSCRIBER\_READY\_INFO
- MBIM\_CID\_RADIO\_STATE
- MBIM\_CID\_PIN
- MBIM\_CID\_HOME\_PROVIDER
- MBIM\_CID\_REGISTER\_STATE
- MBIM\_CID\_SIGNAL\_STATE
- MBIM\_CID\_CONNECT
- MBIM\_CID\_IP\_CONFIGURATION\_INFO
- MBIM\_CID\_DEVICE\_SERVICES

- MBIM\_CID\_PACKET\_SERVICE

**Precondition(s):**

1. ["MBIM Open"](#) sequence has been executed successfully.
2. ["MBIM\\_CID\\_DEVICE\\_SERVICES"](#) sequence has been executed successfully.

**Test step(s):**

1. Verify that MBIM\_DEVICE\_SERVICE\_ELEMENT entry with DeviceServiceId == a289cc33-bcbb-8b4f-b6b0-133ec2aae6df (UUID\_BASIC\_CONNECT) has been returned in the MBIM\_COMMAND\_DONE response to the MBIM\_CID\_DEVICE\_SERVICES command as a part of MBIM\_DEVICE\_SERVICES\_INFO structure and the list of CIDs provided in the data buffer of this entry contains all the mandatory CIDs.